

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Information:

Question	Response	URL(If Applicable)
1. Does the Authority have procurement guidelines?	Yes	https://nifa.ny.gov/reports_financial/procurementguidelines.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents, or specifications for procurement contracts?	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a. If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	
16. Did the Authority establish a goal for participation by MWBEs in its procurement contracts?	Yes	
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	30%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	30%	

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

1. Vendor Name	Albrecht, Viggiano, Zureck & Company	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Accounting Services. Recommended to Authority	Award Date	04/16/08
Amount Expended for Fiscal Year	\$10,751.50	Begin Date	05/01/08
Amount Expended for Life to Date	\$368,869.01	Renewal Date	10/13/16
Does the Contract have an End Date		End Date	12/31/21
Current or Outstanding Balance	\$0.00	Amount	\$200,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	\$200,000.00
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	25 Suffolk Court		
Address Line 2			
City	HAUPPAUGE		
State	NY		
Postal Code	11788		
Plus 4	3715		
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

2. Vendor Name	BMO Harris Bank	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Liquidity provider for 2008 C series bonds	Award Date	04/13/16
Amount Expended for Fiscal Year	\$436,106.06	Begin Date	04/01/16
Amount Expended for Life to Date	\$1,259,696.28	Renewal Date	
Does the Contract have an End Date		End Date	11/15/19
Current or Outstanding Balance	\$0.00	Amount	\$1,402,375.00
Number of Bids or Proposals Received Prior to Award of Contract	3	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	111 West Monroe Street		
Address Line 2	5E		
City	CHICAGO		
State	IL		
Postal Code	60603		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

3. Vendor Name	BMO Harris Bank	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Liquidity provider for 2008 D1 series bonds	Award Date	10/23/15
Amount Expended for Fiscal Year	\$67,776.88	Begin Date	11/04/15
Amount Expended for Life to Date	\$266,182.53	Renewal Date	
Does the Contract have an End Date		End Date	11/15/17
Current or Outstanding Balance	\$0.00	Amount	\$288,017.00
Number of Bids or Proposals Received Prior to Award of Contract	3	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	111 West Monroe Street		
Address Line 2	5E		
City	CHICAGO		
State	IL		
Postal Code	60603		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

4. Vendor Name	BNY Mellon	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Trustee Banking Services	Award Date	10/13/00
Amount Expended for Fiscal Year	\$15,000.00	Begin Date	10/13/00
Amount Expended for Life to Date	\$622,500.50	Renewal Date	
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$0.00	Amount	\$807,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	101 Barclay Street		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10286		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

5. Vendor Name	Bank of America/Merrill Lynch	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	2008 A	Award Date	03/01/16
Amount Expended for Fiscal Year	\$50,000.00	Begin Date	03/01/16
Amount Expended for Life to Date	\$138,337.84	Renewal Date	
Does the Contract have an End Date		End Date	11/15/25
Current or Outstanding Balance	\$0.00	Amount	\$371,405.00
Number of Bids or Proposals Received Prior to Award of Contract	2	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	One Bryant Park		
Address Line 2	9th Floor		
City	NEW YORK		
State	NY		
Postal Code	10036		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

6. Vendor Name	Bank of America/Merrill Lynch	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	2008 D1 Remarketing agent	Award Date	12/01/15
Amount Expended for Fiscal Year	\$16,754.14	Begin Date	12/01/15
Amount Expended for Life to Date	\$38,250.37	Renewal Date	
Does the Contract have an End Date		End Date	11/15/17
Current or Outstanding Balance	\$0.00	Amount	\$47,459.00
Number of Bids or Proposals Received Prior to Award of Contract	2	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	One Bryant Park		
Address Line 2	9th Floor		
City	NEW YORK		
State	NY		
Postal Code	10036		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority
 Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019
 Status: CERTIFIED
 Certified Date: 02/22/2019

Procurement Transactions Listing:

7. Vendor Name	Bond Schoeneck & King	Type Of Procurement	Legal Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Labor attorney with close proximity to NIFA offices needed.	Award Date	03/24/14
Amount Expended for Fiscal Year	\$2,769.00	Begin Date	03/24/14
Amount Expended for Life to Date	\$142,093.16	Renewal Date	
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$0.00	Amount	\$500,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	\$500,000.00
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	1010 Franklin Avenue		
Address Line 2			
City	GARDEN CITY		
State	NY		
Postal Code	11530		
Plus 4	2900		
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

8. Vendor Name	Capital Market Advisors	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Request for proposals issued and respondents interviewed before decision made.	Award Date	03/07/17
Amount Expended for Fiscal Year	\$153,207.90	Begin Date	03/07/17
Amount Expended for Life to Date	\$153,207.90	Renewal Date	03/05/21
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$0.00	Amount	\$500,000.00
Number of Bids or Proposals Received Prior to Award of Contract	8	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	COMPLETED
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	11 Grace Avenue		
Address Line 2	Suite 308		
City	GREAT NECK		
State	NY		
Postal Code	11021		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

9. Vendor Name	Citigroup	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	2008 B and 2008 C Remarketing Agent	Award Date	04/16/08
Amount Expended for Fiscal Year	\$96,177.44	Begin Date	05/16/08
Amount Expended for Life to Date	\$998,556.17	Renewal Date	03/01/16
Does the Contract have an End Date		End Date	11/15/21
Current or Outstanding Balance	\$0.00	Amount	\$924,445.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	39 Greenwich Street		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10013		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

10. Vendor Name	Fitch Investor Services	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	Bond Rating Services	Award Date	
Amount Expended for Fiscal Year	\$4,000.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	One State Street Plaza		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10004		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

11. Vendor Name	Goldman, Sachs & Co	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Swap Counterparty 2004 B,C Bonds	Award Date	03/25/04
Amount Expended for Fiscal Year	\$3,536,104.00	Begin Date	04/02/04
Amount Expended for Life to Date	\$85,506,789.56	Renewal Date	
Does the Contract have an End Date		End Date	11/15/24
Current or Outstanding Balance	\$0.00	Amount	\$225,000,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	85 Broad Street		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10005		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

12. Vendor Name	Goldman, Sachs & Co	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Swap Counterparty 2004 I Bonds	Award Date	11/08/04
Amount Expended for Fiscal Year	\$1,694,550.00	Begin Date	12/09/04
Amount Expended for Life to Date	\$21,847,072.74	Renewal Date	
Does the Contract have an End Date		End Date	11/15/25
Current or Outstanding Balance	\$0.00	Amount	\$50,000,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	85 Broad Street		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10006		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority
 Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019
 Status: CERTIFIED
 Certified Date: 02/22/2019

Procurement Transactions Listing:

13. Vendor Name	Hawkins, Delafield & Wood LLP	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Bond Counsel	Award Date	03/14/13
Amount Expended for Fiscal Year	\$0.00	Begin Date	03/14/13
Amount Expended for Life to Date	\$24,902.00	Renewal Date	
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$0.00	Amount	\$24,902.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	\$24,902.00
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	One Chase Manhattan Plaza		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10005		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

14. Vendor Name	Kirby McInerney LLP	Type Of Procurement	Legal Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Law firm approached NIFA and agreed to represent NIFA on a contingency basis.	Award Date	06/30/16
Amount Expended for Fiscal Year	\$127,728.81	Begin Date	06/30/16
Amount Expended for Life to Date	\$127,728.81	Renewal Date	06/30/18
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$0.00	Amount	\$500,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	825 Third Avenue		
Address Line 2	16th Floor		
City	NEW YORK		
State	NY		
Postal Code	10022		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

15. Vendor Name	LAMONT FINANCIAL SERVICES	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Financial Advisor	Award Date	05/03/04
Amount Expended for Fiscal Year	\$3,387.50	Begin Date	05/03/04
Amount Expended for Life to Date	\$376,136.75	Renewal Date	06/05/13
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$0.00	Amount	\$100,000.00
Number of Bids or Proposals Received Prior to Award of Contract	1	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	30 Two Bridges Road, Suite 205		
Address Line 2			
City	FAIRFIELD		
State	NJ		
Postal Code	07004		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

16. Vendor Name	McGladrey LLC	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Outside independent auditors	Award Date	01/23/15
Amount Expended for Fiscal Year	\$34,400.00	Begin Date	01/01/15
Amount Expended for Life to Date	\$143,210.00	Renewal Date	12/31/17
Does the Contract have an End Date		End Date	12/31/19
Current or Outstanding Balance	\$0.00	Amount	\$165,250.00
Number of Bids or Proposals Received Prior to Award of Contract	5	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	1185 Avenue of the Americas		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10036		
Plus 4	2602		
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

17. Vendor Name	Moody's Investor Services	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	Bond Monitoring Services	Award Date	
Amount Expended for Fiscal Year	\$9,500.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	99 Church Street		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10007		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

18. Vendor Name	Morgan Stanley	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Swap Counterparty 2004 K Bonds	Award Date	11/08/04
Amount Expended for Fiscal Year	\$1,557,474.00	Begin Date	12/04/04
Amount Expended for Life to Date	\$21,709,996.39	Renewal Date	
Does the Contract have an End Date		End Date	11/15/25
Current or Outstanding Balance	\$0.00	Amount	\$50,000,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	1221 Avenue of the Americas		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10022		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

19. Vendor Name	NYS Employees Health Ins	Type Of Procurement	Other
Transaction Number		Award Process	Purchased Under State Contract
Procurement Description	Health Insurance	Award Date	
Amount Expended for Fiscal Year	\$177,335.14	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	State of New York		
Address Line 2	Department of Civil Service P O BOX 1369		
City	NEW YORK		
State	NY		
Postal Code	10116		
Plus 4	1369		
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

20. Vendor Name	NYS Retirement	Type Of Procurement	Other
Transaction Number		Award Process	Purchased Under State Contract
Procurement Description	Retirement plan	Award Date	
Amount Expended for Fiscal Year	\$67,197.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	Governor Smith State Office Building		
Address Line 2			
City	ALBANY		
State	NY		
Postal Code	12244		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

21. Vendor Name	Public Financial Management	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Swap Report and GASB 53 analysis	Award Date	12/08/11
Amount Expended for Fiscal Year	\$16,000.00	Begin Date	01/01/12
Amount Expended for Life to Date	\$932,556.85	Renewal Date	10/11/16
Does the Contract have an End Date		End Date	12/31/16
Current or Outstanding Balance	\$0.00	Amount	\$80,000.00
Number of Bids or Proposals Received Prior to Award of Contract	4	Fair Market Value	\$80,000.00
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	Two Logan Square		
Address Line 2	18th and Arch Street Suite 1600		
City	PHILADELPHIA		
State	PA		
Postal Code	19103		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

22. Vendor Name	Skadden Arps Slate Meager & Flom LLP	Type Of Procurement	Legal Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Litigation and general advice on retainer	Award Date	09/28/10
Amount Expended for Fiscal Year	\$44,604.00	Begin Date	09/28/10
Amount Expended for Life to Date	\$2,796,920.00	Renewal Date	
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$0.00	Amount	\$2,568,506.00
Number of Bids or Proposals Received Prior to Award of Contract	3	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	4 Times Square		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10036		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

23. Vendor Name	Standard & Poor's	Type Of Procurement	Other Professional Services
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	Bond Monitoring Services	Award Date	
Amount Expended for Fiscal Year	\$7,843.00	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	55 Water Street		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10041		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

24. Vendor Name	Staples	Type Of Procurement	Commodities/Supplies
Transaction Number		Award Process	Purchased Under State Contract
Procurement Description	Office Furniture and Supplies	Award Date	
Amount Expended for Fiscal Year	\$1,193.65	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	500 Staples Drive		
Address Line 2			
City	FRAMINGHAM		
State	MA		
Postal Code	01702		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

25. Vendor Name	Sumitomo Mitsui Banking Corporation	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Liquidity Facility 2008 B Bonds	Award Date	03/31/12
Amount Expended for Fiscal Year	\$642,795.15	Begin Date	04/23/12
Amount Expended for Life to Date	\$4,261,222.80	Renewal Date	
Does the Contract have an End Date		End Date	11/15/21
Current or Outstanding Balance	\$0.00	Amount	\$6,593,000.00
Number of Bids or Proposals Received Prior to Award of Contract	4	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	277 Park Avenue		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10172		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

26. Vendor Name	TD Bank N.A.	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Liquidity Facility provider for 2008 A	Award Date	04/04/14
Amount Expended for Fiscal Year	\$355,034.24	Begin Date	05/01/14
Amount Expended for Life to Date	\$1,652,611.44	Renewal Date	
Does the Contract have an End Date		End Date	05/07/19
Current or Outstanding Balance	\$0.00	Amount	\$1,475,000.00
Number of Bids or Proposals Received Prior to Award of Contract	4	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?		Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	324 South Service Road		
Address Line 2	4th Floor		
City	MELVILLE		
State	NY		
Postal Code	11747		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

27. Vendor Name	TL Franklin Avenue Plaza LLC	Type Of Procurement	Other
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Office lease at 1305 Franklin Avenue, Garden City, NY	Award Date	10/23/15
Amount Expended for Fiscal Year	\$114,308.86	Begin Date	01/01/16
Amount Expended for Life to Date	\$277,972.51	Renewal Date	
Does the Contract have an End Date		End Date	07/31/23
Current or Outstanding Balance	\$0.00	Amount	\$859,644.00
Number of Bids or Proposals Received Prior to Award of Contract	1	Fair Market Value	\$859,644.00
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	200 Garden City Plaza		
Address Line 2	Suite 325		
City	GARDEN CITY		
State	NY		
Postal Code	11530		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

28. Vendor Name	The Hartford	Type Of Procurement	Other
Transaction Number		Award Process	Non Contract Procurement/Purchase Order
Procurement Description	Longterm disability insurance	Award Date	
Amount Expended for Fiscal Year	\$3,369.68	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	One Hartford Plaza		
Address Line 2	Group Benefits Division		
City	HARTFORD		
State	CT		
Postal Code	06155		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

29. Vendor Name	UBS	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Swap Counterparty 2004 E,F Bonds	Award Date	03/25/04
Amount Expended for Fiscal Year	\$4,413,838.00	Begin Date	04/02/02
Amount Expended for Life to Date	\$83,376,212.20	Renewal Date	
Does the Contract have an End Date		End Date	11/15/24
Current or Outstanding Balance	\$0.00	Amount	\$225,000,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	1285 Avenue of the Americas		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10019		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

30. Vendor Name	UBS	Type Of Procurement	Financial Services
Transaction Number		Award Process	Authority Contract - Non-Competitive Bid
Procurement Description	Swap Counterparty 2004J Bonds	Award Date	11/08/04
Amount Expended for Fiscal Year	\$1,716,000.00	Begin Date	12/04/04
Amount Expended for Life to Date	\$21,940,702.44	Renewal Date	
Does the Contract have an End Date		End Date	11/15/25
Current or Outstanding Balance	\$0.00	Amount	\$50,000,000.00
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	No
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	1285 Avenue of the Americas		
Address Line 2			
City	NEW YORK		
State	NY		
Postal Code	10019		
Plus 4			
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

31. Vendor Name	Verizon	Type Of Procurement	Telecommunication Equipment or Services
Transaction Number		Award Process	Purchased Under State Contract
Procurement Description	Phone Services	Award Date	
Amount Expended for Fiscal Year	\$2,051.17	Begin Date	
Amount Expended for Life to Date		Renewal Date	
Does the Contract have an End Date		End Date	
Current or Outstanding Balance		Amount	
Number of Bids or Proposals Received Prior to Award of Contract		Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?		Were MWBE Firms Solicited as Part of this Procurement Process?	
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	
Address Line 1	P O Box 15124		
Address Line 2			
City	ALBANY		
State	NY		
Postal Code	12212		
Plus 4	5124		
Province/Region			
Country	United States		

Procurement Report for Nassau County Interim Finance Authority

Fiscal Year Ending: 12/31/2018

Run Date: 05/29/2019

Status: CERTIFIED

Certified Date: 02/22/2019

Procurement Transactions Listing:

32. Vendor Name	Zimmerman/Edelson	Type Of Procurement	Consulting Services
Transaction Number		Award Process	Authority Contract - Competitive Bid
Procurement Description	Media Relations firm	Award Date	10/19/15
Amount Expended for Fiscal Year	\$20,300.00	Begin Date	10/19/15
Amount Expended for Life to Date	\$78,050.00	Renewal Date	
Does the Contract have an End Date	No	End Date	
Current or Outstanding Balance	\$0.00	Amount	\$200,000.00
Number of Bids or Proposals Received Prior to Award of Contract	2	Fair Market Value	
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the Fair Market Value is less than the Amount	
Is the Vendor a Minority or Women – Owned Business Enterprise?	No	Status	OPEN
Exemption from the Publication Requirements of Article 4C of the Economic Development Law?	No	Were MWBE Firms Solicited as Part of this Procurement Process?	Yes
If Yes, basis for Exemption		Number of Bids and Proposals Received from MWBE Firms	0
Address Line 1	Five Bond Street		
Address Line 2			
City	GREAT NECK		
State	NY		
Postal Code	11021		
Plus 4			
Province/Region			
Country	United States		

Additional Comments