

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nifa.state.ny.us/guidelinesandpolicies.html
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	
16. Did the Authority establish a goal for participation by	Yes	

Procurement-Information:

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	20%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	29.	

Procurement Transactions Listing:

<p>1. Vendor Name: Albrecht, Viggiano, Zureck & Company</p> <p>Transaction Number:</p> <p>Procurement Description: Accounting Services</p> <p>Amount Expended for Fiscal Year: \$61,106.25</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 25 Suffolk Court</p> <p>Address Line2:</p> <p>City: HAUPPAUGE</p> <p>State: NY</p> <p>Postal Code: 11788</p> <p>Plus 4: 3715</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>2. Vendor Name: BNY Mellon</p> <p>Transaction Number:</p> <p>Procurement Description: Liquidity Facility 2008 D1 Bonds</p> <p>Amount Expended for Fiscal Year: \$294,046.91</p> <p>Amount Expended for Life to Date: \$294,046.91</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 3</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 101 Barclay Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10286</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 03/24/2012</p> <p>Begin Date: 06/01/2012</p> <p>Renewal Date:</p> <p>End Date: 06/01/2015</p> <p>Amount: \$882,140.73</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>3. Vendor Name: BNY Mellon</p> <p>Transaction Number:</p> <p>Procurement Description: Trustee Banking Services</p> <p>Amount Expended for Fiscal Year: \$41,000</p> <p>Amount Expended for Life to Date: \$547,400.5</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 101 Barclay Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10286</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 10/13/2000</p> <p>Begin Date: 10/13/2000</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$41,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>4. Vendor Name: Citigroup</p> <p>Transaction Number:</p> <p>Procurement Description: 2008 C Remarketing Agent</p> <p>Amount Expended for Fiscal Year: \$89,691.44</p> <p>Amount Expended for Life to Date: \$483,628.87</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 39 Greenwich Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10013</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 04/16/2008</p> <p>Begin Date: 05/16/2008</p> <p>Renewal Date:</p> <p>End Date: 11/15/2019</p> <p>Amount: \$90,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

5. Vendor Name:	Deloitte & Touche	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Financial Auditing	Award Date:	11/17/2009
		Begin Date:	01/01/2010
		Renewal Date:	
Amount Expended for Fiscal Year:	\$25,525	End Date:	04/01/2014
Amount Expended for Life to Date:	\$403,378	Amount:	\$68,925
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	7		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	2 Hilton Court		
Address Line2:			
City:	PARSIPPANY		
State:	NJ		
Postal Code:	07054		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>6. Vendor Name: Fitch Investor Services</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$96,000</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One State Street Plaza</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

7. Vendor Name:	GOLDMAN, SACHS & COMPANY	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	2008AB & 2008EF REMARKETING AGENT	Award Date:	04/16/2008
		Begin Date:	05/16/2008
		Renewal Date:	
Amount Expended for Fiscal Year:	\$179,876.03	End Date:	11/15/2025
Amount Expended for Life to Date:	\$1,038,254.26	Amount:	\$179,876.03
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	85 BROAD STREET		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10004		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>8. Vendor Name: Goldman, Sachs & Co</p> <p>Transaction Number:</p> <p>Procurement Description: Swap Counterparty 2004 B,C,D Bonds</p> <p>Amount Expended for Fiscal Year: \$3,481,650</p> <p>Amount Expended for Life to Date: \$63,159,540.21</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 85 Broad Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10005</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 03/25/2004</p> <p>Begin Date: 04/02/2004</p> <p>Renewal Date:</p> <p>End Date: 11/15/2024</p> <p>Amount: \$3,481,650</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>9. Vendor Name: Goldman, Sachs & Co</p> <p>Transaction Number:</p> <p>Procurement Description: Swap Counterparty 2004 I Bonds</p> <p>Amount Expended for Fiscal Year: \$844,555.83</p> <p>Amount Expended for Life to Date: \$14,221,731.97</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 85 Broad Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10006</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 11/08/2004</p> <p>Begin Date: 12/09/2004</p> <p>Renewal Date:</p> <p>End Date: 11/15/2025</p> <p>Amount: \$844,555.83</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

10. Vendor Name:	Grant Thornton LLP	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Advisory & Restructuring Services	Award Date:	07/14/2011
		Begin Date:	07/14/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$42,479.97	End Date:	12/31/2012
Amount Expended for Life to Date:	\$918,863.81	Amount:	\$42,479.97
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	8		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	2
If Yes, basis for exemption:			
Address Line1:	The Chrysler Center		
Address Line2:	666 3rd Avenue		
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>11. Vendor Name: Hawkins, Delafield & Wood LLP</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Counsel</p> <p>Amount Expended for Fiscal Year: \$24,902</p> <p>Amount Expended for Life to Date: \$24,902</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One Chase Manhattan Plaza</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10005</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 03/14/2013</p> <p>Begin Date: 03/14/2013</p> <p>Renewal Date:</p> <p>End Date: 04/24/2013</p> <p>Amount: \$24,902</p> <p>Fair Market value: \$24,902</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>12. Vendor Name: J P Morgan</p> <p>Transaction Number:</p> <p>Procurement Description: Liquidity Facility 2008E Bonds</p> <p>Amount Expended for Fiscal Year: \$2,129,515.99</p> <p>Amount Expended for Life to Date: \$6,955,419.62</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 270 Park Avenue</p> <p>Address Line2: 20th floor</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10017</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 03/31/2009</p> <p>Begin Date: 04/21/2009</p> <p>Renewal Date:</p> <p>End Date: 11/15/2025</p> <p>Amount: \$2,129,515.99</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

13. Vendor Name:	LAMONT FINANCIAL SERVICES	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Financial Advisor	Award Date:	04/01/2012
		Begin Date:	04/01/2012
		Renewal Date:	
Amount Expended for Fiscal Year:	\$30,862.5	End Date:	
Amount Expended for Life to Date:	\$225,143	Amount:	\$30,862.5
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	30 Two Bridges Road, Suite 205		
Address Line2:			
City:	FAIRFIELD		
State:	NJ		
Postal Code:	07004		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>14. Vendor Name: M R BEAL & COMPANY</p> <p>Transaction Number:</p> <p>Procurement Description: 2008D REMARKETING AGENT</p> <p>Amount Expended for Fiscal Year: \$67,808.22</p> <p>Amount Expended for Life to Date: \$461,927.25</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 110 WALL STREET</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10005</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 04/16/2008</p> <p>Begin Date: 05/16/2008</p> <p>Renewal Date:</p> <p>End Date: 11/15/2017</p> <p>Amount: \$67,808.22</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>15. Vendor Name: MICRO FORCE</p> <p>Transaction Number:</p> <p>Procurement Description: SOFTWARE MAINTENANCE</p> <p>Amount Expended for Fiscal Year: \$3,710.5</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 505 JERICHO TURNPIKE</p> <p>Address Line2:</p> <p>City: HUNTINGTON STATION</p> <p>State: NY</p> <p>Postal Code: 11746</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>16. Vendor Name: Moody's Investor Services</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Monitoring Services</p> <p>Amount Expended for Fiscal Year: \$8,500</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 99 Church Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10007</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

17. Vendor Name:	Morgan Stanley	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Counterparty 2004 K Bonds	Award Date:	11/08/2004
		Begin Date:	12/04/2004
		Renewal Date:	
Amount Expended for Fiscal Year:	\$844,556.02	End Date:	11/15/2025
Amount Expended for Life to Date:	\$14,221,731.91	Amount:	\$844,556.02
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	1221 Avenue of the Americas		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

18. Vendor Name:	NYS Employees Health Ins	Type of Procurement:	Other
Transaction Number:		Award Process:	Purchased Under State Contract
Procurement Description:	Health Insurance	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$110,810.44	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	State of New York		
Address Line2:	Department of Civil Service P O BOX 1369		
City:	NEW YORK		
State:	NY		
Postal Code:	10116		
Plus 4:	1369		
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>19. Vendor Name: NYS Retirement</p> <p>Transaction Number:</p> <p>Procurement Description: Retirement plan</p> <p>Amount Expended for Fiscal Year: \$134,776</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: Governor Smith State Office Building</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12244</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

20. Vendor Name:	Old Country Road Realty LP	Type of Procurement:	Other
Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
Procurement Description:	Lease for NIFA Offices	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$106,119.97	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	600 Old Country Road		
Address Line2:			
City:	GARDEN CITY		
State:	NY		
Postal Code:	11530		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

21. Vendor Name:	Public Financial Management	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Report and GASB 54 analysis	Award Date:	12/08/2011
		Begin Date:	12/08/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$12,500	End Date:	12/31/2016
Amount Expended for Life to Date:	\$21,875	Amount:	\$12,500
Does the contract have an end Date:	Yes	Fair Market value:	\$62,500
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	4	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	were MWBE firms solicited as part of this procurement process	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	0
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	Two Logan Square		
Address Line2:	18th and Arch Street Suite 1600		
City:	PHILADELPHIA		
State:	PA		
Postal Code:	19103		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>22. Vendor Name: SIDLEY AUSTIN</p> <p>Transaction Number:</p> <p>Procurement Description: 2008AF and 2009A COUNSEL</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$519,294.12</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 787 7TH AVENUE 21ST FLOOR</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10019</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 04/16/2008</p> <p>Begin Date: 04/16/2008</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>23. Vendor Name: Skadden Arps Slate Meager & Flom LLP</p> <p>Transaction Number:</p> <p>Procurement Description: Litigation and general advice</p> <p>Amount Expended for Fiscal Year: \$647,384</p> <p>Amount Expended for Life to Date: \$2,216,424</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 3</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 4 Times Square</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10036</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/28/2010</p> <p>Begin Date: 09/28/2010</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$647,384</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>24. Vendor Name: Standard & Poor's</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Monitoring Services</p> <p>Amount Expended for Fiscal Year: \$9,500</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 55 Water Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10041</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>25. Vendor Name: Staples</p> <p>Transaction Number:</p> <p>Procurement Description: Office Furniture and Supplies</p> <p>Amount Expended for Fiscal Year: \$1,025.01</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 500 Staples Drive</p> <p>Address Line2:</p> <p>City: FRAMINGHAM</p> <p>State: MA</p> <p>Postal Code: 01702</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Commodities/Supplies</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

26. Vendor Name:	Sumitomo Mitsui Banking Corporation	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Liquidity Facility 2008 B Bonds	Award Date:	03/31/2012
		Begin Date:	04/23/2012
		Renewal Date:	
Amount Expended for Fiscal Year:	\$764,574.01	End Date:	11/17/2025
Amount Expended for Life to Date:	\$1,529,148.02	Amount:	\$764,574.01
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	4		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	277 Park Avenue		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10172		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

27. Vendor Name:	UBS	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Counterparty 2004 E,F,G Bonds	Award Date:	03/25/2004
		Begin Date:	04/02/2002
		Renewal Date:	
Amount Expended for Fiscal Year:	\$3,482,050	End Date:	11/15/2024
Amount Expended for Life to Date:	\$63,349,270.52	Amount:	\$3,482,050
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	1285 Avenue of the Americas		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

28. Vendor Name:	UBS	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Counterparty 2004J Bonds	Award Date:	11/08/2004
		Begin Date:	12/04/2004
		Renewal Date:	
Amount Expended for Fiscal Year:	\$844,556.02	End Date:	11/15/2025
Amount Expended for Life to Date:	\$14,221,702.44	Amount:	\$844,556.02
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	1285 Avenue of the Americas		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

29. Vendor Name:	United States Life Insurance & Co	Type of Procurement:	Other
Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
Procurement Description:	Long Term Disability	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$10,870.02	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	P O Box 62104		
Address Line2:			
City:	BALTIMORE		
State:	MD		
Postal Code:	21264		
Plus 4:	2104		
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>30. Vendor Name: Verizon</p> <p>Transaction Number:</p> <p>Procurement Description: Phone Services</p> <p>Amount Expended for Fiscal Year: \$3,151.99</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: P O Box 15124</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12212</p> <p>Plus 4: 5124</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Telecommunication Equipment or Services</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Additional Comments: