

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	www.nifa.state.ny.us
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	No	Attachment Included
13. Has the Authority designated a person or persons to oversee its MWBE program?	No	Attachment Included
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	No	Attachment Included
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	No	Attachment Included
16. Did the Authority establish a goal for participation by	No	Attachment Included

Procurement-Information:

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?		Attachment Included
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?		Attachment Included

Procurement Transactions Listing:

1. Vendor Name: Albrecht, Viggiano, Zureck & Co

Transaction Number:
Procurement Description: Accounting Services

Type of Procurement: Financial Services
Award Process: Purchased Under State Contract
Award Date:
Begin Date:
Renewal Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$31,106.25
Amount Expended for Life to Date:
Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 25 Suffolk Court
Address Line2:
City: HAUPPAUGE
State: NY
Postal Code: 11788
Plus 4: 3715
Province Region:
Country: USA

2. Vendor Name: BNP PARIBAS

Transaction Number:
Procurement Description: Liquidity Facility 2008A Bonds

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/16/2008
Begin Date: 05/16/2008
Renewal Date:
End Date: 05/15/2011
Amount: \$1,127,990.41
Amount Expended for Fiscal Year: \$1,127,990.41
Amount Expended for Life to Date: \$2,224,213.19
Current or Outstanding Balance: \$1,127,990.41

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 525 WASHINGTON BLVD
Address Line2:
City: JERSEY CITY
State: NJ
Postal Code: 07310
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

3. Vendor Name: Bank of America

Transaction Number:
Procurement Description: Liquidity Facility 2008 F Bonds

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/16/2008
Begin Date: 06/02/2009
Renewal Date:
End Date: 05/15/2011
Amount: \$936,716.27
Amount Expended for Fiscal Year: \$936,716.27
Amount Expended for Life to Date: \$1,605,853.35
Current or Outstanding Balance: \$936,716.27

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: p o box 660576
Address Line2:
City: DALLAS
State: TX
Postal Code: 75266
Plus 4:
Province Region:
Country: USA

4. Vendor Name: Bank of New York

Transaction Number:
Procurement Description: Trustee Banking Services

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/13/2000
Begin Date: 10/13/2000
Renewal Date:
End Date:
Amount: \$37,000
Amount Expended for Fiscal Year: \$37,000
Amount Expended for Life to Date: \$442,360.5
Current or Outstanding Balance: \$37,000

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 101 Barclay Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10286
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

5. Vendor Name: CITIGROUP

Transaction Number:
Procurement Description: 2008C REMARKETING AGENT

Type of Procurement: Financial Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 04/16/2008
Begin Date: 05/16/2008
Renewal Date:
End Date: 11/15/2019
Amount: \$90,000
Amount Expended for Fiscal Year: \$90,000
Amount Expended for Life to Date: \$213,872.45
Current or Outstanding Balance: \$90,000

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 39 GREENWICH STREET
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA

6. Vendor Name: Deloitte & Touche

Transaction Number:
Procurement Description: Financial Auditing

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/17/2009
Begin Date: 01/01/2010
Renewal Date:
End Date: 04/01/2014
Amount: \$23,457
Amount Expended for Fiscal Year: \$23,457
Amount Expended for Life to Date: \$270,728
Current or Outstanding Balance: \$23,457

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 2 Hilton Court
Address Line2: P O Box 319
City: PARSIPPANY
State: NJ
Postal Code: 07054
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

7. Vendor Name: Fitch INVESTORS SERVICE

Transaction Number:

Procurement Description: BOND RATING SERVICES

Type of Procurement: Other Professional Services

Award Process: Non Contract Procurement/Purchase Order

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$5,000

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: ONE STATE STREET PLAZA

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10004

Plus 4:

Province Region:

Country: USA

8. Vendor Name: GOLDMAN, SACHS & COMPANY

Transaction Number:

Procurement Description: 2008AB & 2008EF REMARKETING AGENT

Type of Procurement: Other Professional Services

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 04/16/2008

Begin Date: 05/16/2008

Renewal Date:

End Date: 11/15/2025

Amount: \$179,986.99

Amount Expended for Fiscal Year: \$179,986.99

Amount Expended for Life to Date: \$500,221.49

Current or Outstanding Balance: \$179,986.99

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 85 BROAD STREET

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10004

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

9. Vendor Name: Goldman, Sachs & Co

Transaction Number:

Procurement Description: Swap Counterparty 2004BD Bonds

Type of Procurement: Other Professional Services

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 03/25/2004

Begin Date: 04/02/2004

Renewal Date:

End Date: 11/15/2024

Amount: \$6,937,555.56

Amount Expended for Fiscal Year: \$6,937,555.56

Amount Expended for Life to Date: \$45,751,290.21

Current or Outstanding Balance: \$6,937,555.56

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 85 Broad Street

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10005

Plus 4:

Province Region:

Country: USA

10. Vendor Name: Goldman, Sachs & Co

Transaction Number:

Procurement Description: Swap Counterparty 2004I Bonds

Type of Procurement: Other Professional Services

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 11/08/2004

Begin Date: 12/09/2004

Renewal Date:

End Date: 11/15/2025

Amount: \$1,685,290.23

Amount Expended for Fiscal Year: \$1,685,290.23

Amount Expended for Life to Date: \$9,945,176.14

Current or Outstanding Balance: \$1,685,290.23

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 85 Broad Street

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10006

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

11. Vendor Name: J P Morgan

Transaction Number:
Procurement Description: Liquidity Facility 2008E Bonds

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/31/2009
Begin Date: 04/21/2009
Renewal Date:
End Date: 11/15/2025
Amount: \$1,262,823.01
Amount Expended for Fiscal Year: \$1,262,823.01
Amount Expended for Life to Date: \$1,912,492.53
Current or Outstanding Balance: \$1,262,823.01

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 270 Park Avenue
Address Line2: 20th floor
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA

12. Vendor Name: KBC BANK

Transaction Number:
Procurement Description: Liquidity Facility 2008B Bonds

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/16/2008
Begin Date: 05/16/2008
Renewal Date:
End Date: 05/15/2011
Amount: \$456,472.61
Amount Expended for Fiscal Year: \$456,472.61
Amount Expended for Life to Date: \$1,088,679.38
Current or Outstanding Balance: \$456,472.61

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 1177 AVENUE OF THE AMERICAS
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10036
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

13. Vendor Name: LAMONT FINANCIAL SERVICES

Transaction Number:
Procurement Description: SWAP ADVISOR 2008AF

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/16/2008
Begin Date: 04/16/2008
Renewal Date:
End Date: 06/02/2008
Amount: \$12,000
Amount Expended for Fiscal Year: \$12,000
Amount Expended for Life to Date: \$93,912.5
Current or Outstanding Balance: \$12,000

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? Foreign
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 201 WILLOWBROOK BLVD. 15TH FLOOR
Address Line2:
City: WAYNE
State: NJ
Postal Code: 07470
Plus 4:
Province Region:
Country: USA

14. Vendor Name: Lamont Financial Services

Transaction Number:
Procurement Description: Financial Advisor 2009A Bonds

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 03/31/2009
Begin Date: 03/31/2009
Renewal Date:
End Date:
Amount: \$40,000
Amount Expended for Fiscal Year: \$40,000
Amount Expended for Life to Date: \$75,192.4
Current or Outstanding Balance: \$40,000

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? Foreign
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 201 willowbrook blvd
Address Line2: 1st floor
City: WAYNE
State: NJ
Postal Code: 07470
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

15. Vendor Name: M R BEAL & COMPANY

Transaction Number:
Procurement Description: 2008D REMARKETING AGENT

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 04/16/2008
Begin Date: 05/16/2008
Renewal Date:
End Date: 11/15/2017
Amount: \$90,000
Amount Expended for Fiscal Year: \$90,000
Amount Expended for Life to Date: \$213,872.45
Current or Outstanding Balance: \$90,000

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 110 WALL STREET
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA

16. Vendor Name: MICRO FORCE

Transaction Number:
Procurement Description: SOFTWARE MAINTENANCE

Type of Procurement: Other Professional Services
Award Process: Purchased Under State Contract
Award Date:
Begin Date:
Renewal Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$398.75
Amount Expended for Life to Date:
Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 505 JERICHO TURNPIKE
Address Line2:
City: HUNTINGTON STATION
State: NY
Postal Code: 11746
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

17. Vendor Name: Moody's Investor Services

Transaction Number:

Procurement Description: Bond Monitoring Services

Type of Procurement: Other Professional Services

Award Process: Non Contract Procurement/Purchase Order

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$26,000

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 99 Church Street

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10007

Plus 4:

Province Region:

Country: USA

18. Vendor Name: Morgan Stanley

Transaction Number:

Procurement Description: Swap Counterparty 2004K Bonds

Type of Procurement: Financial Services

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 11/08/2004

Begin Date: 12/04/2004

Renewal Date:

End Date: 11/15/2025

Amount: \$1,685,290.21

Amount Expended for Fiscal Year: \$1,685,290.21

Amount Expended for Life to Date: \$9,945,175.89

Current or Outstanding Balance: \$1,685,290.21

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 1221 Avenue of the Americas

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10022

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

19. Vendor Name: NYS Employees Health Ins

Transaction Number:

Procurement Description: Health Insurance

Type of Procurement: Other

Award Process: Purchased Under State Contract

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$87,318.34

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: State of New York

Address Line2: Department of Civil Service P O BOX 1369

City: NEW YORK

State: NY

Postal Code: 10116

Plus 4: 1369

Province Region:

Country: USA

20. Vendor Name: NYS Retirement

Transaction Number:

Procurement Description: Retirement plan

Type of Procurement: Other

Award Process: Purchased Under State Contract

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$75,306

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: Governor Smith State Office Building

Address Line2:

City: ALBANY

State: NY

Postal Code: 12244

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

21. Vendor Name: Old Country Road Realty LP

Transaction Number:
Procurement Description: Lease for NIFA Offices

Type of Procurement: Other
Award Process: Non Contract Procurement/Purchase Order
Award Date:
Begin Date:
Renewal Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$124,755.98
Amount Expended for Life to Date:
Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 600 Old Country Road
Address Line2:
City: GARDEN CITY
State: NY
Postal Code: 11530
Plus 4:
Province Region:
Country: USA

22. Vendor Name: SIDLEY AUSTIN

Transaction Number:
Procurement Description: 2008AF and 2009A COUNSEL

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/16/2008
Begin Date: 04/16/2008
Renewal Date:
End Date:
Amount: \$0
Amount Expended for Fiscal Year: \$0
Amount Expended for Life to Date: \$305,026.23
Current or Outstanding Balance: \$0

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 787 7TH AVENUE 21ST FLOOR
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

23. Vendor Name: Standard & Poor's

Transaction Number:

Procurement Description: Bond Monitoring Services

Type of Procurement: Other Professional Services

Award Process: Non Contract Procurement/Purchase Order

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$0

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 55 Water Street

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10041

Plus 4:

Province Region:

Country: USA

24. Vendor Name: Staples

Transaction Number:

Procurement Description: Office Furniture and Supplies

Type of Procurement: Commodities/Supplies

Award Process: Purchased Under State Contract

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$2,857.85

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 500 Staples Drive

Address Line2:

City: FRAMINGHAM

State: MA

Postal Code: 01702

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

25. Vendor Name: UBS

Transaction Number:
Procurement Description: Swap Counterparty 2004EG Bonds

Type of Procurement: Financial Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 03/25/2004
Begin Date: 04/02/2002
Renewal Date:
End Date: 11/15/2024
Amount: \$6,964,100
Amount Expended for Fiscal Year: \$6,964,100
Amount Expended for Life to Date: \$45,939,820.52
Current or Outstanding Balance: \$6,964,100

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 1285 Avenue of the Americas
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

26. Vendor Name: UBS

Transaction Number:
Procurement Description: Swap Counterparty 2004J Bonds

Type of Procurement: Financial Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 11/08/2004
Begin Date: 12/04/2004
Renewal Date:
End Date: 11/15/2025
Amount: \$1,685,260.64
Amount Expended for Fiscal Year: \$1,685,260.64
Amount Expended for Life to Date: \$9,945,146.42
Current or Outstanding Balance: \$1,685,260.64

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 1285 Avenue of the Americas
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

27. Vendor Name: United States Life Insurance & Co

Transaction Number:

Procurement Description: Long Term Disability

Type of Procurement: Other

Award Process: Non Contract Procurement/Purchase Order

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$9,832.92

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: P O Box 62104

Address Line2:

City: BALTIMORE

State: MD

Postal Code: 21264

Plus 4: 2104

Province Region:

Country: USA

28. Vendor Name: Verizon

Transaction Number:

Procurement Description: Phone Services

Type of Procurement: Telecommunication Equipment or Services

Award Process: Purchased Under State Contract

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$3,789.65

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: P O Box 15124

Address Line2:

City: ALBANY

State: NY

Postal Code: 12212

Plus 4: 5124

Province Region:

Country: USA

Additional Comments: