

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the authority have procurement guidelines?	Yes	www.nifa.state.ny.us
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Did the authority follow a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law	No	
10. As required by Section 2880 of the Public Authorities Law, has the authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	No	Attachment Included

Procurement Transactions Listing:

1. Vendor Name: Albrecht, Viggiano, Zureck & Co

2. Vendor Name: BNP PARIBAS

Transaction Number:

Transaction Number:

Procurement Description: Accounting Services

Procurement Description: Liquidity Facility 2008A Bonds

Award Process: Purchased Under State Contract

Award Process: Authority Contract - Competitive Bid

Award Date:

Award Date: 04/16/2008

Begin Date:

Begin Date: 05/16/2008

Renewal Date:

Renewal Date:

End Date:

End Date: 05/15/2011

Amount:

Amount: \$872,138.62

Amount Expended for Fiscal Year: \$71,911.25

Amount Expended for Fiscal Year: \$872,138.62

Amount Expended for Life to Date:

Amount Expended for Life to Date: \$1,096,222.78

Current or Outstanding Balance:

Current or Outstanding Balance: \$872,138.62

Number Of Bids Or Proposals Received Prior to Award of Contract:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 25 Suffolk Court

Address Line1: 525 WASHINGTON BLVD

Address Line2:

Address Line2:

City: HAUPPAUGE

City: JERSEY CITY

State: NY

State: NJ

Postal Code: 11788

Postal Code: 07310

Plus 4: 3715

Plus 4:

Province Region:

Province Region:

Country: USA

Country: USA

Procurement Transactions Listing:

3. Vendor Name: BNP Paribas

Transaction Number:

Procurement Description: Letter of Credit 2002B Bonds

Award Process: Authority Contract - Competitive Bid

Award Date: 05/30/2002

Begin Date: 07/10/2002

Renewal Date: 06/13/2007

End Date: 11/15/2022

Amount: \$31,907.25

Amount Expended for Fiscal Year: \$31,907.25

Amount Expended for Life to Date: \$11,042,957.85

Current or Outstanding Balance: \$31,907.25

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 525 Washington Blvd

Address Line2:

City: JERSEY CITY

State: NJ

Postal Code: 07310

Plus 4:

Province Region:

Country: USA

4. Vendor Name: Bank of America

Transaction Number:

Procurement Description: Liquidity Facility 2008 F Bonds

Award Process: Authority Contract - Competitive Bid

Award Date: 04/16/2008

Begin Date: 06/02/2009

Renewal Date:

End Date: 05/15/2011

Amount: \$669,134.08

Amount Expended for Fiscal Year: \$669,134.08

Amount Expended for Life to Date: \$834,749.47

Current or Outstanding Balance: \$669,134.08

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: p o box 660576

Address Line2:

City: DALLAS

State: TX

Postal Code: 75266

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

5. Vendor Name: Bank of New York

Transaction Number:

Procurement Description: Trustee Banking Services

Award Process: Authority Contract - Competitive Bid

Award Date: 10/13/2000

Begin Date: 10/13/2000

Renewal Date:

End Date:

Amount: \$45,000

Amount Expended for Fiscal Year: \$45,000

Amount Expended for Life to Date: \$405,360.5

Current or Outstanding Balance: \$45,000

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 101 Barclay Street

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10286

Plus 4:

Province Region:

Country: USA

6. Vendor Name: CITIGROUP

Transaction Number:

Procurement Description: 2008C REMARKETING AGENT

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 04/16/2008

Begin Date: 05/16/2008

Renewal Date:

End Date: 11/15/2019

Amount: \$89,938.02

Amount Expended for Fiscal Year: \$89,938.02

Amount Expended for Life to Date: \$123,872.45

Current or Outstanding Balance: \$89,938.02

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 39 GREENWICH STREET

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10013

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

7. Vendor Name: DEXIA

Transaction Number:
Procurement Description: Liquidity Facility 2008 CE Bonds

Award Process: Authority Contract - Competitive Bid
Award Date: 04/16/2008
Begin Date: 05/16/2008
Renewal Date:
End Date: 05/15/2011
Amount: \$1,090,175.4
Amount Expended for Fiscal Year: \$1,090,175.4
Amount Expended for Life to Date: \$3,165,170.85
Current or Outstanding Balance: \$1,090,175.4

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 445 PARK AVENUE
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA

8. Vendor Name: Deloitte & Touche

Transaction Number:
Procurement Description: Financial Auditing

Award Process: Authority Contract - Competitive Bid
Award Date: 10/05/2004
Begin Date: 01/01/2005
Renewal Date:
End Date: 04/01/2009
Amount: \$36,240
Amount Expended for Fiscal Year: \$36,240
Amount Expended for Life to Date: \$247,271
Current or Outstanding Balance: \$36,240

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 2 Hilton Court
Address Line2: P O Box 319
City: PARSIPPANY
State: NJ
Postal Code: 07054
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

9. Vendor Name: Dexia

Transaction Number:
Procurement Description: Letter of Credit 2002A Bonds

Award Process: Authority Contract - Competitive Bid
Award Date: 05/30/2002
Begin Date: 07/10/2002
Renewal Date: 06/13/2007
End Date: 11/15/2022
Amount: \$287,108.01
Amount Expended for Fiscal Year: \$287,108.01
Amount Expended for Life to Date: \$1,981,247.06
Current or Outstanding Balance: \$287,108.01

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 445 Park Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA

10. Vendor Name: Fitch INVESTORS SERVICE

Transaction Number:
Procurement Description: BOND RATING SERVICES

Award Process: Non Contract Procurement/Purchase Order
Award Date:
Begin Date:
Renewal Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$71,500
Amount Expended for Life to Date:
Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: ONE STATE STREET PLAZA
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

11. Vendor Name: GOLDMAN, SACHS & COMPANY

Transaction Number:
Procurement Description: 2008AB & 2008EF REMARKETING AGENT

Award Process: Authority Contract - Non-Competitive Bid
Award Date: 04/16/2008
Begin Date: 05/16/2008
Renewal Date:
End Date: 11/15/2025
Amount: \$255,647.48
Amount Expended for Fiscal Year: \$255,647.48
Amount Expended for Life to Date: \$320,234.5
Current or Outstanding Balance: \$255,647.48

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 85 BROAD STREET
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA

12. Vendor Name: Goldman, Sachs & Co

Transaction Number:
Procurement Description: Swap Counterparty 2004BD Bonds

Award Process: Authority Contract - Non-Competitive Bid
Award Date: 03/25/2004
Begin Date: 04/02/2004
Renewal Date:
End Date: 11/15/2024
Amount: \$6,932,489.94
Amount Expended for Fiscal Year: \$6,932,489.94
Amount Expended for Life to Date: \$38,813,734.65
Current or Outstanding Balance: \$6,932,489.94

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 85 Broad Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

13. Vendor Name: Goldman, Sachs & Co

Transaction Number:

Procurement Description: Swap Counterparty 2004I Bonds

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 11/08/2004

Begin Date: 12/09/2004

Renewal Date:

End Date: 11/15/2025

Amount: \$1,681,724.28

Amount Expended for Fiscal Year: \$1,681,724.28

Amount Expended for Life to Date: \$8,259,885.91

Current or Outstanding Balance: \$1,681,724.28

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 85 Broad Street

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10006

Plus 4:

Province Region:

Country: USA

14. Vendor Name: Goldman, Sachs & Co

Transaction Number:

Procurement Description: Remarketing Agent 2002A Bonds

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 05/30/2002

Begin Date: 07/10/2002

Renewal Date:

End Date: 11/15/2022

Amount: \$37,567.92

Amount Expended for Fiscal Year: \$37,567.92

Amount Expended for Life to Date: \$373,923.85

Current or Outstanding Balance: \$37,567.92

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 85 Broad Street

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10004

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

15. Vendor Name: J P Morgan

Transaction Number:
Procurement Description: Liquidity Facility 2008E Bonds

Award Process: Authority Contract - Competitive Bid
Award Date: 03/31/2009
Begin Date: 04/21/2009
Renewal Date:
End Date: 11/15/2025
Amount: \$646,669.52
Amount Expended for Fiscal Year: \$646,669.52
Amount Expended for Life to Date: \$649,669.52
Current or Outstanding Balance: \$649,669.52

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 270 Park Avenue
Address Line2: 20th floor
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA

16. Vendor Name: KBC BANK

Transaction Number:
Procurement Description: Liquidity Facility 2008B Bonds

Award Process: Authority Contract - Competitive Bid
Award Date: 04/16/2008
Begin Date: 05/16/2008
Renewal Date:
End Date: 05/15/2011
Amount: \$456,472.61
Amount Expended for Fiscal Year: \$456,472.61
Amount Expended for Life to Date: \$632,206.77
Current or Outstanding Balance: \$456,472.61

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 1177 AVENUE OF THE AMERICAS
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10036
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

17. Vendor Name: LAMONT FINANCIAL SERVICES

Transaction Number:
 Procurement Description: SWAP ADVISOR 2008AF

Award Process: Authority Contract - Competitive Bid
 Award Date: 04/16/2008
 Begin Date: 04/16/2008
 Renewal Date:
 End Date: 06/02/2008
 Amount: \$37,212.5
 Amount Expended for Fiscal Year: \$37,212.5
 Amount Expended for Life to Date: \$81,912.5
 Current or Outstanding Balance: \$37,212.5

Number Of Bids Or Proposals Received Prior to Award of Contract:
 Is the Vendor a NYS or Foreign Business Enterprise? Foreign
 Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
 If Yes, basis for exemption:

Address Line1: 201 WILLOWBROOK BLVD. 15TH FLOOR
 Address Line2:
 City: WAYNE
 State: NJ
 Postal Code: 07470
 Plus 4:
 Province Region:
 Country: USA

18. Vendor Name: Lamont Financial Services

Transaction Number:
 Procurement Description: Financial Advisor 2009A Bonds

Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 03/31/2009
 Begin Date: 03/31/2009
 Renewal Date:
 End Date:
 Amount: \$35,192.4
 Amount Expended for Fiscal Year: \$35,192.4
 Amount Expended for Life to Date: \$35,192.4
 Current or Outstanding Balance: \$35,192.4

Number Of Bids Or Proposals Received Prior to Award of Contract:
 Is the Vendor a NYS or Foreign Business Enterprise? Foreign
 Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
 If Yes, basis for exemption:

Address Line1: 201 willowbrook blvd
 Address Line2: 1st floor
 City: WAYNE
 State: NJ
 Postal Code: 07470
 Plus 4:
 Province Region:
 Country: USA

Procurement Transactions Listing:

19. Vendor Name: M R BEAL & COMPANY

Transaction Number:
Procurement Description: 2008D REMARKETING AGENT

Award Process: Authority Contract - Non-Competitive Bid
Award Date: 04/16/2008
Begin Date: 05/16/2008
Renewal Date:
End Date: 11/15/2017
Amount: \$89,938.02
Amount Expended for Fiscal Year: \$89,938.02
Amount Expended for Life to Date: \$123,872.45
Current or Outstanding Balance: \$89,938.02

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 110 WALL STREET
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA

20. Vendor Name: MICRO FORCE

Transaction Number:
Procurement Description: SOFTWARE MAINTENANCE

Award Process: Purchased Under State Contract
Award Date:
Begin Date:
Renewal Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$780
Amount Expended for Life to Date:
Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 505 JERICHO TURNPIKE
Address Line2:
City: HUNTINGTON STATION
State: NY
Postal Code: 11746
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

21. Vendor Name: Moody's Investor Services

Transaction Number:

Procurement Description: Bond Monitoring Services

Award Process: Non Contract Procurement/Purchase Order

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$104,025

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 99 Church Street

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10007

Plus 4:

Province Region:

Country: USA

22. Vendor Name: Morgan Stanley

Transaction Number:

Procurement Description: Swap Counterparty 2004K Bonds

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 11/08/2004

Begin Date: 12/04/2004

Renewal Date:

End Date: 11/15/2025

Amount: \$1,681,724.15

Amount Expended for Fiscal Year: \$1,681,724.15

Amount Expended for Life to Date: \$8,259,885.78

Current or Outstanding Balance: \$1,681,724.15

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 1221 Avenue of the Americas

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10022

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

23. Vendor Name: NYS Employees Health Ins

Transaction Number:

Procurement Description: Health Insurance

Award Process: Purchased Under State Contract

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$87,007.51

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: State of New York

Address Line2: Department of Civil Service P O BOX 1369

City: NEW YORK

State: NY

Postal Code: 10116

Plus 4: 1369

Province Region:

Country: USA

24. Vendor Name: NYS Retirement

Transaction Number:

Procurement Description: Retirement plan

Award Process: Purchased Under State Contract

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$47,777

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: Governor Smith State Office Building

Address Line2:

City: ALBANY

State: NY

Postal Code: 12244

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

25. Vendor Name: Old Country Road Realty LP

Transaction Number:
Procurement Description: Lease for NIFA Offices

Award Process: Non Contract Procurement/Purchase Order
Award Date:
Begin Date:
Renewal Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$140,330.89
Amount Expended for Life to Date:
Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 600 Old Country Road
Address Line2:
City: GARDEN CITY
State: NY
Postal Code: 11530
Plus 4:
Province Region:
Country: USA

26. Vendor Name: SIDLEY AUSTIN

Transaction Number:
Procurement Description: 2008AF and 2009A COUNSEL

Award Process: Authority Contract - Competitive Bid
Award Date: 04/16/2008
Begin Date: 04/16/2008
Renewal Date:
End Date:
Amount: \$120,026.23
Amount Expended for Fiscal Year: \$120,026.23
Amount Expended for Life to Date: \$305,026.23
Current or Outstanding Balance: \$120,026.23

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 787 7TH AVENUE 21ST FLOOR
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

<p>27. Vendor Name: Standard & Poor's</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Monitoring Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$94,000</p> <p>Amount Expended for Life to Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:</p> <p>Address Line1: 55 Water Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10041</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>28. Vendor Name: Staples</p> <p>Transaction Number:</p> <p>Procurement Description: Office Furniture and Supplies</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$2,049.28</p> <p>Amount Expended for Life to Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:</p> <p>Address Line1: 500 Staples Drive</p> <p>Address Line2:</p> <p>City: FRAMINGHAM</p> <p>State: MA</p> <p>Postal Code: 01702</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>
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Procurement Transactions Listing:

29. Vendor Name: Swap Financial

Transaction Number:

Procurement Description: Financial Advisor

Award Process: Authority Contract - Competitive Bid

Award Date: 10/14/2005

Begin Date: 10/14/2005

Renewal Date:

End Date: 12/31/2008

Amount: \$5,000

Amount Expended for Fiscal Year: \$5,000

Amount Expended for Life to Date: \$180,000

Current or Outstanding Balance: \$5,000

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 76 South Orange Avenue

Address Line2:

City: SOUTH ORANGE

State: NJ

Postal Code: 07079

Plus 4:

Province Region:

Country: USA

30. Vendor Name: UBS

Transaction Number:

Procurement Description: Swap Counterparty 2004EG Bonds

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 03/25/2004

Begin Date: 04/02/2002

Renewal Date:

End Date: 11/15/2024

Amount: \$6,958,365.95

Amount Expended for Fiscal Year: \$6,958,365.95

Amount Expended for Life to Date: \$38,975,720.52

Current or Outstanding Balance: \$6,958,365.95

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: 1285 Avenue of the Americas

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10019

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

31. Vendor Name: UBS

Transaction Number:
Procurement Description: Swap Counterparty 2004J Bonds

Award Process: Authority Contract - Non-Competitive Bid
Award Date: 11/08/2004
Begin Date: 12/04/2004
Renewal Date:
End Date: 11/15/2025
Amount: \$1,681,724.15
Amount Expended for Fiscal Year: \$1,681,724.15
Amount Expended for Life to Date: \$8,259,885.78
Current or Outstanding Balance: \$1,681,724.15

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 1285 Avenue of the Americas
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

32. Vendor Name: UBS

Transaction Number:
Procurement Description: STRUCTURING FEE 2008 BONDS

Award Process: Authority Contract - Non-Competitive Bid
Award Date: 04/16/2008
Begin Date: 04/16/2008
Renewal Date:
End Date: 05/16/2008
Amount: \$248.43
Amount Expended for Fiscal Year: \$248.43
Amount Expended for Life to Date: \$229,650.33
Current or Outstanding Balance: \$248.43

Number Of Bids Or Proposals Received Prior to Award of Contract:
Is the Vendor a NYS or Foreign Business Enterprise? NYS
Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?
If Yes, basis for exemption:

Address Line1: 1285 AVENUE OF THE AMERICAS
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

33. Vendor Name: United States Life Insurance & Co

Transaction Number:

Procurement Description: Long Term Disability

Award Process: Non Contract Procurement/Purchase Order

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$10,330.58

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: P O Box 62104

Address Line2:

City: BALTIMORE

State: MD

Postal Code: 21264

Plus 4: 2104

Province Region:

Country: USA

34. Vendor Name: Verizon

Transaction Number:

Procurement Description: Phone Services

Award Process: Purchased Under State Contract

Award Date:

Begin Date:

Renewal Date:

End Date:

Amount:

Amount Expended for Fiscal Year: \$8,530.12

Amount Expended for Life to Date:

Current or Outstanding Balance:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law? If Yes, basis for exemption:

Address Line1: P O Box 15124

Address Line2:

City: ALBANY

State: NY

Postal Code: 12212

Plus 4: 5124

Province Region:

Country: USA

Procurement Transactions Listing:

35. Vendor Name: Winston & Strawn

Transaction Number:

Procurement Description: Underwriters Counsel 2009A

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 03/31/2009

Begin Date: 03/31/2009

Renewal Date:

End Date: 05/15/2009

Amount: \$65,102.67

Amount Expended for Fiscal Year: \$65,102.67

Amount Expended for Life to Date: \$65,102.67

Current or Outstanding Balance: \$65,102.67

Number Of Bids Or Proposals

Received Prior to Award

of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes

Exemption from the publication requirements of Article 4c of the economic-development law?

If Yes, basis for exemption:

Address Line1: 200 Park Avenue

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10166

Plus 4:

Province Region:

Country: USA

Additional Comments: