

## AGENDA

**NASSAU COUNTY INTERIM FINANCE AUTHORITY  
FINANCE COMMITTEE MEETING  
MONDAY, NOVEMBER 25, 2013, 9:00 AM  
MARRIOTT LONG ISLAND HOTEL & CONFERENCE CENTER  
101 JAMES DOOLITTLE BLVD., UNIONDALE, NY 11553**

Call to Order

Action Items

- I. Approval of the Minutes of June 5, 2013 Meeting
- II. Consideration of Issuance of Tax Anticipation Notes by Nassau County and to Take Related Actions
- III. Consideration of Issuance of Bond Anticipation Notes by Nassau County and to Take Related Actions
- IV. Consideration of Issuance of General Obligation Bonds by Nassau County

Adjournment

DRAFT – SUBJECT TO REVIEW AND REVISION

NASSAU COUNTY INTERIM FINANCE AUTHORITY  
MINUTES OF THE MEETING OF THE  
FINANCE COMMITTEE  
HELD ON JUNE 5, 2013

Pursuant to notice dated May 31, 2013, the Finance Committee of the Nassau County Interim Finance Authority (“NIFA”) was convened at 5:00 PM at the Marriott Long Island Hotel & Conference Center located at 101 James Doolittle Blvd, Uniondale, NY 11553.

The following Members of the Committee were present:

George Marlin - Chairman  
Ronald Stack  
John Buran  
Christopher Wright

Also present were Dermond Thomas, NIFA Director; Evan Cohen, Executive Director; Maria Kwiatkowski, Deputy Director; Jeremy Wise, General Counsel; Jane Cunneen, Acting Treasurer; and Laurie Boucher, Corporate Secretary.

Upon determining that a quorum was present, the meeting was called to order.

Chairman Marlin stated that the first item on the agenda was a resolution to approve the minutes of the November 29, 2012 meeting.

Upon motion duly made and seconded, the following resolution was approved unanimously:

Resolution No. 13-10

APPROVAL OF MINUTES AND RATIFICATION OF ACTIONS TAKEN AT THE NOVEMBER 29, 2012 MEETING OF THE FINANCE COMMITTEE OF THE NASSAU COUNTY INTERIM FINANCE AUTHORITY

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RESOLVED, that the Minutes of the Finance committee meeting of the Authority held on November 29, 2012 are hereby approved and all actions taken by the Directors present at such meeting, as set forth in such Minutes, are hereby in all respects ratified and approved as actions of the Authority.

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Chairman Marlin stated that the next item on the agenda was a resolution to recommend that the NIFA Directors authorize the issuance of revenue anticipation notes by Nassau County. He stated that this is a typical seasonal borrowing for cash flow purposes that most municipalities do each year. He stated that the amount of proceeds will be \$210 million.

Upon motion duly made and seconded, the following resolution was approved unanimously:

Resolution No. 13-11

CONSIDERATION OF APPROVAL OF ISSUANCE OF REVENUE ANTICIPATION NOTES BY NASSAU COUNTY

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RESOLVED, that the materials presented to this meeting (the “Materials”) are ordered to be filed with the records of the Finance Committee of the Nassau County Interim Finance Authority (“the Committee”); and be it further

RESOLVED, that based upon the discussion in the Materials and pursuant to Section 3669 2(e) of the Authority Act, the Committee hereby approves the County’s Issuance of up to \$210.617 million of Revenue Anticipation Notes, Series 2013, upon the conditions outlined in the Materials, and be it further

RESOLVED, that the aforesaid resolutions shall be presented to all of the Directors of NIFA for adoption and the taking of related actions.

\* \* \*

Chairman Marlin then entertained a motion to adjourn. Upon motion duly made and seconded, the Committee members voted unanimously to adjourn the meeting at 5:02 PM.

Respectfully submitted,

Laurie A. Boucher  
Corporate Secretary

**NASSAU COUNTY INTERIM FINANCE AUTHORITY  
FINANCE COMMITTEE**

**FOR CONSIDERATION**

November 25, 2013

TO: George Marlin, Paul Annunziato, John Buran, Jon Kaiman

FROM: Evan Cohen

SUBJECT: Tax Anticipation Note Borrowing, 2013 Series AB

REQUEST FOR: Consideration of Tax Anticipation Note Borrowing by Nassau County

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**Introduction:**

Nassau County has requested NIFA's approval of a proposed sale of Tax Anticipation Notes ("TANs"). The maximum amount of proceeds will be \$227,592,260, but after costs of issuance they will retain \$226,954,760. The TANs will be sold at the same time, but one will mature in September and the other in October of 2014.

The reason for the County's request is found in section 3369 2(e) of the NIFA Act, which states that during a Control Period:

"The authority shall review the terms of each proposed long-term and short-term borrowing by the county and any covered organization to be effected during any control period, and no such borrowing shall be made during any control period unless it is approved by the authority."

Issuance of the County's TANs was delegated to the County Executive by Ordinance 116-1959; consequently, it does not require new authorization by the County Legislature.

**Discussion:**

TANs are a common device used by public entities to help with cash flow until taxes are received.

The County typically does two cash flow borrowings each year – a Revenue Anticipation Notes issue in May / June and a Tax Anticipation Notes ("TAN") issue in November / December. The Notes are issued to provide monies to meet cash flow deficits expected to occur during the period the Notes are outstanding.

The County's lowest cash positions are typically in January and July, just prior to the receipt of the tax levy in March and September.

After numerous discussions between NIFA and County staff, we are convinced that this issuance is needed in order to meet the County's financial obligations.

**Requested Action:**

Although cash flow borrowings of any kind are not the sign of a robust financial position, they are commonly used by public entities. In this case, the County's rationale seems to be sound. Subject to approval of the entire Board, you are requested to approve the County's proposed cash flow borrowing through TANs, subject to the final review and approval of the Chairman or his designee(s).

**Attachment:**

Resolution

**NASSAU COUNTY INTERIM FINANCE AUTHORITY**

**RESOLUTION NO. 13-**

**CONSIDERATION OF ISSUANCE OF TAX ANTICIPATION NOTES BY NASSAU COUNTY**

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RESOLVED, that the materials presented to this meeting of the Finance Committee of the Board of Directors (the “Materials”) are ordered to be filed with the records of the Nassau County Interim Finance Authority (the “Authority”); and be it further

RESOLVED, that based upon the discussion in the Materials and pursuant to Section 3669 2(e) of the Authority Act, the Committee has reviewed the terms of the County’s proposed issuance of TANs and hereby approves said borrowing on the condition that total proceeds, consisting of par and original issue premium, cannot exceed \$227,592,260 including costs of issuance, and be it further

RESOLVED, that the final terms of the sale are subject to the review and approval of the Chairman of the Authority or his designee(s); and be it further

RESOLVED, that staff may take all actions and do all things that they deem necessary to carry out the intent of this resolution.

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George Marlin  
Chairperson

November 25, 2013

**NASSAU COUNTY INTERIM FINANCE AUTHORITY**  
**FINANCE COMMITTEE**

**FOR CONSIDERATION**

November 25, 2013

TO: George Marlin, Paul Annunziato, John Buran, Jon Kaiman

FROM: Evan Cohen

SUBJECT: County Bond Anticipation Notes, Series 2013 B

REQUEST FOR: Consideration of Issuance of Bond Anticipation Notes Bonds by Nassau County

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**Introduction:**

Nassau County has requested NIFA's approval of a proposed sale of Bond Anticipation Notes ("2013 Series B BANs"). The maximum amount of proceeds will be \$123,281,588.10, including premium, to pay for projects totaling \$122,794,664 plus costs of issuance.

The reason for the County's request is found in section 3369 2(e) of the NIFA Act, which states that during a Control Period:

"The authority shall review the terms of each proposed long-term and short-term borrowing by the county and any covered organization to be effected during any control period, and no such borrowing shall be made during any control period unless it is approved by the authority."

**Background:**

On February 7, 2013 the NIFA Directors authorized the issuance of Sandy-related borrowing. The amounts borrowed, were as follows:

Capital and Sewer and Storm Water, Sandy related	\$185,283,767
Hazardous Waste, Sandy related	<u>2,500,000</u>
Total	\$187,783,767

The County issued \$185,510,000 of bond anticipation notes (2013 Series A BANs) under this authorization (see attached project list). The difference between the total project needs of \$187,783,767 and the principal amount of \$185,510,000 was due to premium on the BANs.

In the immediate aftermath of Superstorm Sandy, the County wanted to ensure that it had sufficient funds on hand to perform all immediate and critical work that was required. The County's estimate of needed funds was made even as the Department of Public Works was still performing evaluations and inspections of County owned facilities and properties. Now that these evaluations and inspections have been completed, the County is better able to program the available funding into a timeline of when it will be spent, and how to ensure that the expenditures meet Federal reimbursement guidelines.

As a result, the County is seeking authorization to issue a new series of bond anticipation notes in the amount of \$122,794,994 (2013 Series B BANs), the net proceeds of which will be used to pay its 2013 Series A BANs at maturity on February 5, 2014 (the "BANs"). At the same time, the County will use \$62,715,336 of unspent 2013 Series A BANs proceeds to pay the balance of such BANs at maturity, which amount will be reissued as needed in the future.

2013 Series A Principal Amount	\$185,510,000
Delayed Projects <sup>(1)</sup>	<u>62,715,336</u>
New BAN	\$122,794,664

(1) To be reissued in the future when needed.

See attached project list for a breakdown of the above amounts.

**Recommendation:**

Subject to approval of the entire Board, we recommend approval of the issuance of the BANs upon the terms and conditions outlined in these materials; however, the amount of BANs issued shall be reduced if premium BANs are issued, such that total proceeds are sufficient to cover payment of the maturing 2013 Series A BANs at maturity plus costs of issuance.

**Attachments:**

Attachment 2013 Series A BAN Project List

Resolution

**NASSAU COUNTY INTERIM FINANCE AUTHORITY**

**FINANCE COMMITTEE**

**RESOLUTION NO. 13-**

**APPROVAL OF ISSUANCE OF BOND ANTICIPATION NOTES BY NASSAU COUNTY**

RESOLVED, that the materials presented to this meeting of the Finance Committee (the “Materials”) are incorporated into this Resolution and are ordered to be filed with the records of the Nassau County Interim Finance Authority (the “Authority”); and be it further

RESOLVED, that based upon the discussions in the Materials and pursuant to Section 3669 2(e) of the Authority Act, the Committee has reviewed the terms of the County’s proposed issuance(s) of bond anticipation notes and approves such issuance(s) in the amounts and upon the conditions outlined in the Materials; and be it further

RESOLVED, that staff may take all actions and do all things that they deem necessary to carry out the intent of this resolution.

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George Marlin  
Chairperson

November 25, 2013

2013 Series A BAN Roll Over

Project Number	Project Title	Original Amount	Amount to Roll	Balance
41334	Nickerson Beach Improvements	\$1,000,000.00	\$ 1,000,000.00	\$0.00
41814	Various County Parks Fencing Repair	\$250,000.00	\$ 250,000.00	\$0.00
41820	Various Parks Playgrounds Improvements	\$250,000.00	\$ 250,000.00	\$0.00
41826	Various Parks Preserve Buildings Rehabilitation	\$500,000.00	\$ 500,000.00	\$0.00
41829	Various Park Lighting	\$1,500,000.00	\$ 1,500,000.00	\$0.00
41834	Various Parks Path/Roadways/Parking Resurface	\$1,000,000.00	\$ 1,000,000.00	\$0.00
41844	Various Athletic Fields and Courts	\$1,000,000.00	\$ 1,000,000.00	\$0.00
41858	Various Parks Pool Improvements	\$750,000.00	\$ 750,000.00	\$0.00
41861	Various Park Buildings Infrastructure Upgrades	\$4,250,000.00	\$ 4,250,000.00	\$0.00
41870	County Wide Beach Restoration and Mitigation	\$2,000,000.00	\$ 2,000,000.00	\$0.00
41871	Countywide Docks and Bulkhead	\$1,000,000.00	\$ 1,000,000.00	\$0.00
60050	Sheridan Boulevard Drainage Impovements	\$2,000,000.00	\$ 695,757.00	\$1,304,243.00
50680	Police Department Precinct and Auxillary Precincts Renovation and Modernization	\$2,000,000.00	\$ 429,354.00	\$1,570,646.00
50686	Police Fleet Replacement	\$1,500,000.00	\$ 1,500,000.00	\$0.00
50695	Police Department Fuel Management System	\$1,500,000.00	\$ 706,660.00	\$793,340.00
50696	Local Municipality Interoperable Radio System	\$1,000,000.00	\$ -	\$1,000,000.00
60042	Middle Neck Road Drainage Improvements	\$3,000,000.00	\$ 2,049,117.00	\$950,883.00
61587	Road Resurfacing	\$5,000,000.00	\$ 5,000,000.00	\$0.00
6179A	West Shore Road, Mill Neck	\$8,000,000.00	\$ 8,000,000.00	\$0.00
62017	Traffic Signal Construction & Modification - Phase VII	\$5,000,000.00	\$ 5,000,000.00	\$0.00
62500	Traffic Studies	\$500,000.00	\$ 500,000.00	\$0.00
63029	Bridge Rehabilitation Program	\$6,100,000.00	\$ 6,100,000.00	\$0.00
63400	Civil Site Studies	\$1,000,000.00	\$ 1,000,000.00	\$0.00
66016	Countywide Tree Management Program	\$1,000,000.00	\$ 1,000,000.00	\$0.00
66050	Various County Curbs and Sidewalks Rehabilitation	\$2,000,000.00	\$ 2,000,000.00	\$0.00
66302	Requirements Contract Roads/Drainage/Bridge/Joints	\$7,250,000.00	\$ 7,250,000.00	\$0.00
81011	Hazardous Waste Response Fund	\$1,323,767.00	\$ 1,323,767.00	\$0.00
90375	Emergency Work at Road Maintenance Garages	\$850,000.00	\$ 850,000.00	\$0.00
90400	Various County Facilities - General Construction	\$5,000,000.00	\$ 5,000,000.00	\$0.00
90401	Various County Facilities - Electrical Construction	\$1,750,000.00	\$ 1,750,000.00	\$0.00
90402	Various County Facilities - HVAC Construction	\$1,500,000.00	\$ 1,500,000.00	\$0.00
90403	Various County Facilities - Plumbing Construction	\$1,000,000.00	\$ 1,000,000.00	\$0.00
90612	Various Generators - Buildings Upgrades	\$2,850,000.00	\$ 2,850,000.00	\$0.00
90618	Various County Buildings Roof Reconstruction	\$1,000,000.00	\$ 1,000,000.00	\$0.00
90622	Hempstead Garage Improvements	\$4,500,000.00	\$ 4,500,000.00	\$0.00
90636	Warehouse and Staging Area	\$4,500,000.00	\$ 4,500,000.00	\$0.00
97104	Disaster Recovery Plan	\$110,000.00	\$ -	\$110,000.00
97113	Departmental Technology Equipment Replacement	\$500,000.00	\$ 500,000.00	\$0.00
97117	CAMDR	\$200,000.00	\$ 200,000.00	\$0.00
97119	Network Infrastructure	\$2,000,000.00	\$ 2,000,000.00	\$0.00
97135	VoIP	\$250,000.00	\$ 250,000.00	\$0.00
97530	GeoBased Mapping and Information System	\$300,000.00	\$ 300,000.00	\$0.00
98060	Road Maintenance Equipment Replacement	\$6,750,000.00	\$ 6,750,000.00	\$0.00
98105	Fleet Management Life Cycle Vehicle Replacement	\$1,000,000.00	\$ 1,000,000.00	\$0.00
98130	Countywide Trunked Radio System	\$1,000,000.00	\$ -	\$1,000,000.00
98344	Public Works Lab Equipment	\$250,000.00	\$ 250,000.00	\$0.00
SSW 82001	Drainage Stream Corridors Reconstruction	\$2,000,000.00	\$ 2,000,000.00	\$0.00
82008	Rehabilitation of Storm Water Basins	\$2,000,000.00	\$ 2,000,000.00	\$0.00
82009	Drainage Facilities Sidewalk Rehabilitation	\$500,000.00	\$ 500,000.00	\$0.00
3P311	Pump Station Rehabilitation	\$22,800,000.00	\$ 6,813,776.00	\$15,986,224.00
35120	Wastewater Facilities Hardening Study	\$1,000,000.00	\$ 1,000,000.00	\$0.00
35121	Wastewater Facilities Storm Restoration	\$60,000,000.00	\$ 20,000,000.00	\$40,000,000.00
Haz Waste 81011	Hazardous Waste	\$2,500,000.00	\$ 2,500,000.00	\$0.00
		\$187,783,767.00	\$ 125,068,431.00	\$ 62,715,336.00

**NASSAU COUNTY INTERIM FINANCE AUTHORITY**  
**FINANCE COMMITTEE**

**FOR CONSIDERATION**

November 25, 2013

TO: George Marlin, Paul Annunziato, John Buran, Jon Kaiman

FROM: Evan Cohen

SUBJECT: County General Obligation Bonds

REQUEST FOR: Consideration of Issuance of General Obligation Bonds by Nassau County

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**Introduction:**

The County has communicated with NIFA its desire to raise money for certain projects through its sale of general obligation bonds in the following categories and in the following amounts (plus costs of issuance):

Categories

A. Projects for General Purposes	\$33,467,999.14
Capital Projects for Sewer and Storm Water	\$17,161,494.02
B. Termination Pay	\$30,000,000.00
C. Workers' Comps	\$ 1,348,888.84
D. Judgments and Settlements	\$ 718,000.00
E. Tax Certiorari	\$35,000,000.00

The bonds have all been authorized by the County Legislature.

**Background:**

The reason for the County's request is found in section 3369 2(e) of the NIFA Act, which states that during a Control Period:

“The authority shall review the terms of each proposed long-term and short-term borrowing by the county and any covered organization to be effected during any control period, and no such borrowing shall be made during any control period unless it is approved by the authority.”

**Discussion:**

The issuance of the bonds is expected in early December of 2013. Background data related to each of the aforementioned categories of bonds can be found in attachments lettered A –E.

The amount of bonds issued shall be reduced if premium bonds are issued, such that total proceeds are sufficient to cover the projects plus any necessary costs of issuance.

**Requested Action:**

You are requested to approve/disapprove the County’s proposed issuance of bonds, subject to the terms and conditions outlined in these materials and the attached resolution.

**Attachments:**

Attachments Lettered A - E

Resolution

**NASSAU COUNTY INTERIM FINANCE AUTHORITY**

**FINANCE COMMITTEE**

**RESOLUTION NO. 13-**

**CONSIDERATION OF ISSUANCE OF GENERAL OBLIGATION BONDS BY NASSAU COUNTY**

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RESOLVED, that the materials presented to this meeting of the Finance Committee (the “Materials”) are incorporated into this Resolution and are ordered to be filed with the records of the Nassau County Interim Finance Authority (the “Authority”); and be it further

RESOLVED, that based upon the discussions in the Materials and pursuant to Section 3669 2(e) of the Authority Act, the Committee has reviewed the terms of the County’s proposed issuance(s) of bonds and approves such issuance(s) in the amounts described in the materials and in the following categories: XXXX (plus costs of issuance) upon the conditions outlined in the Materials; and be it further

RESOLVED, that staff may take all actions and do all things that they deem necessary to carry out the intent of this resolution.

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George Marlin  
Chairperson

November 25, 2013

## Attachment A - Key

Project Number – Five or six digit unique alpha numeric identifier of the project.

Formatted Project Title – A short description of the project.

Description – Qualitative description of what the project is, and what it is meant to do.

PO – Encumbrances that are completed via purchase orders that do not meet the NIFA guidelines for requiring approval.

Pre-NIFA – Encumbrances that predate the start of the NIFA control period.

Not Required – Encumbrances that do not require NIFA approval. Such as encumbrances by the Nassau Community College.

NIFA Approved – Encumbrances that have received NIFA approval at the appropriate level, as per NIFA guidelines.

County Approval Pending – Encumbrances that have not yet been finalized, but are anticipated being finalized during the next six to eight months. These are included in the request so that there is sufficient cash on hand to begin work once NIFA approves the contract.

Authorized – The total amount of bond ordinances approved by the County Legislature.

Issued – The total amount of bond ordinances that have been borrowed by the County.

Authorized but Unissued – The total remaining amount, prior to this deal, of bond authorization remaining in this deal.

Projected Ask – The total amount of additional borrowing that is being sought by the County from the NIFA board.

## Attachment A

**The following 15 projects (which are included in the complete list in the table below) have not had any contract(s) submitted to NIFA for approval yet. However, the County is requesting authorization to borrow at this time due to the critical nature of the projects, as described below.**

90632	Family & Matrimonial Court (~\$2.4m)	This will help fund a contract approved by Legislature 11/18, with preliminary documents sent to NIFA on 11/19 (NIFA number to be assigned). Nassau County is required to do this work by order of the New York State Office of Court Administration. Failure to comply with this order will result in NYS doing the work and billing the County for it.
63029	Bridge Rehab program (~\$2.3m)	The Bayville Bridge is one of two ingress and egress routes into Bayville, and as such is a primary evacuation route. Bids to provide needed repairs to the bridge were opened on 11/19/2013, and it is anticipated that the contract will be awarded in early 2014. Failure to approve these funds until June would result in delay of the bridge repair, which could possibly force the closure of the bridge for safety reasons.
41862	Various Parks – Pool Improvements (~\$112k)	This work will be completed via POs, which will not require NIFA approval.
9B480	Land Acquisition (~\$1.2m)	The County is in final negotiations to purchase two properties. One to store emergency equipment that is being purchased via FEMA mitigation money, and the other is to relocate the records center. This funding is necessary to ensure that once we have reached a deal on these properties, and have the necessary County approvals, there will be no delays in executing the transactions that could jeopardize the sales.
61083	Horse Hollow Road Drainage Improvements (~\$7k)	This will fund design work for this job. The design work will be performed by a design consultant utilizing a previously NIFA approved on-call design agreement. While it will have to go through the County Contract Advisement process, it will not need to be routed to NIFA for approval, as it will not exceed the cap on the previously approved contract.
61101	Uniondale Avenue/Front Street Improvements (~\$1.3M)	This will fund the construction of this job. It is anticipated that the construction will start in the spring.
61105	Merrick Avenue Road Improvements (~\$31.5k)	This will fund design work for this job. The design work will be performed by a design consultant utilizing a previously NIFA approved on-call design agreement. While it will have to go through the County Contract Advisement process, it will not need to be routed to NIFA for approval, as it will not exceed the cap on the previously approved contract.
61111	Wantagh Avenue Road Improvements (\$226k)	This will fund the beginning months of construction for this project. This project remedies unsafe conditions on Wantagh Avenue, which continues to expose the County to potential liability the longer it is not addressed.
72491	Fire Service Academy – Admin Building (\$35k)	This amendment is currently at NIFA for Staff Approval (NIFA id 496-2013). It amends a design contract that predates the control period. Failure to approve the funding significantly delays work at this public safety facility.
62191	Merrick Road Signal Replacement (\$79.90)	This is for design work for a future contract that the County is anticipating getting future State Aid. Failure to advance the design contract in a timely fashion could result in the loss of future State Aid.
62564	Incident Management System – Phase IV (~\$270k)	This is a County match. Failure to properly fund it in a timely fashion could result in loss of over \$1M in State Aid.
91056	Nice BUS (~\$580k)	This is a County match, failure to properly fund in a timely fashion could result in a loss in over \$5M of Federal and State aid.
3B115	Bay Park Chemical Bulk Storage Facility (\$38k)	While not directly related to Super Storm Sandy, this project is essential to the overall plant reconstruction and rehabilitation efforts, and delays in this contract could delay the overall plant reconstruction, as well as cause public health and safety risks.
3B119	Bay Park Various Buildings & Equip. Mod. (~\$2.5m)	This project funds many smaller rehabilitations to the plant, many of which require POs that do not need NIFA Approval. While not directly related to Super Storm Sandy, this project is essential to the overall plant reconstruction and rehabilitation efforts, and delays in this contract could delay the overall plant reconstruction, as well as cause public health and safety risks.
3B120	Bay Park Preliminary Treatment modifications (\$2.6m)	This project will be funding the screens and grit work at Bay Park. While not directly related to Super Storm Sandy, this project is essential to the overall plant reconstruction and rehabilitation efforts, and delays in this contract could delay the overall plant reconstruction, as well as cause public health and safety risks.

Gen Cap	\$	33,467,999.14
SSW	\$	17,161,494.02
Sandy SSW	\$	-
Total	\$	50,629,493.16

Project Number	Formatted Project Title	Description	County Approval Completed				County Approval				
			PO	Pre-NIFA	Not Required	NIFA Approved	Pending	Authorized	Issued	Authorized but Unissued	Projected Ask
90023	Various County Buildings Backflow Prevention Phase III	In accordance with the New York State Sanitary Code, Part 5 and the Nassau County Public Health Ordinance, all facilities which are served by the public water supply shall have devices to prevent backflow of potential contaminants into the public water supply. The County has an ongoing project to install these devices in various County buildings. This project will install backflow prevention devices in the remainder of the county facilities.	\$ 733.59	\$ -	\$ -	\$ 552,590.40	\$ -	\$ 8,257,285.00	\$ 6,877,736.09	\$ 1,379,548.91	\$ 208,102.50
90632	Family & Matrimonial Court	This project will gut and renovate 101 County Seat Drive in order to house Family & Matrimonial Courts.	\$ -	\$ 247,879.67	\$ -	\$ 1,248,420.59	\$ 2,351,610.54	\$ 20,000,000.00	\$ 16,152,089.20	\$ 3,847,910.80	\$ 3,847,910.80
63029	Bridge Rehabilitation Program	Nassau County must maintain those bridges it is responsible for in order to permit their continued use. This program will provide the County with the ability to perform design and reconstruction and/or rehabilitation of "structurally deficient" and "functionally obsolete" bridges owned by Nassau County.	\$ 1,002,002.00	\$ -	\$ -	\$ 479,068.80	\$ 2,326,859.20	\$ 12,350,000.00	\$ 8,542,070.00	\$ 3,807,930.00	\$ 3,807,930.00
70080	NCC Security System Expansion	This project will extend the existing security system to the Physical Education Complex and adjacent exterior parking areas. A previous capital project created a central monitoring station in the College Center building and placed cameras and door contacts throughout the CCB and Building G. The system was recently extended to the Library and the Physical Plant. The Physical Education Complex is the largest building on campus and has many remote locations. The facility is used extensively by outside entities for sporting events and gatherings. The gymnasium is the site for the Nassau County Legislature induction, the site for Nassau County Dept. of Health to inoculate first responders in the case of a biological emergency and is also designated as a shelter for Nassau County residents during and after a hurricane. The building has experienced significant vandalism. The installation of a complete security system would assure the operation of this facility during an emergency.	\$ 186,592.26	\$ -	\$ -	\$ -	\$ -	\$ 350,000.00	\$ 282,200.00	\$ 67,800.00	\$ 67,800.00
70086	NCC West/South Campus Parking Lot Rehab	Currently the West and South Campus parking lots of Nassau Community College are deteriorating in condition. This project will rehabilitate the lots to bring them to optimal conditions.	\$ 2,222.80	\$ 12,000.00	\$ 1,060,170.00	\$ -	\$ -	\$ 5,925,000.00	\$ 5,818,254.12	\$ 106,745.88	\$ 106,745.88
41830	Various County Parks Tree Replacement	This project will replace diseased or dead trees within the County Parks system.	\$ -	\$ 1,056,000.00	\$ -	\$ -	\$ -	\$ 2,590,000.00	\$ 2,503,117.07	\$ 86,882.93	\$ 86,882.93
41862	Various Parks - Pool Improvements	This project will make improvements to various County pools including diving boards, waterparks, waterslides and other amenities. Conditions at many of the pools are in disrepair and the project will provide for ongoing reconstruction work.	\$ 87,515.56	\$ -	\$ -	\$ -	\$ 112,484.44	\$ 500,000.00	\$ 300,000.00	\$ 200,000.00	\$ 200,000.00
9B480	Land Acquisition	This project is to provide funds for the County Attorney to purchase property as well as easements needed for the various County entities to implement various projects.	\$ -	\$ -	\$ -	\$ -	\$ 1,233,876.00	\$ 42,004,241.00	\$ 40,770,365.00	\$ 1,233,876.00	\$ 1,233,876.00
50404	Police Department Renovation of Outdoor Pistol Range	This project is for the renovation of the Nassau County Police Department's outdoor pistol/rifle range, including all downrange, backstop, and target areas. Included in this project will be the replacement of the existing target system, remediation of two earthen berms containing spent ammunition, construction of new berms with a lead containment system, functional landscaping, a new drainage system, improved lighting, and noise abatement devices.	\$ -	\$ 52,006.56	\$ -	\$ 2,645,171.52	\$ -	\$ 10,200,000.00	\$ 7,901,483.83	\$ 2,298,516.17	\$ 2,076,015.80

Project Number	Formatted Project Title	Description	PO	Pre-NIFA	Not Required	NIFA Approved	County Approval Pending	Authorized	Issued	Authorized but Unissued	Projected Ask
50680	Police Department Precincts & Auxiliary Precincts Renovation and Modernization	Currently the physical plant of four of the Police Department's eight station houses is inadequate to handle necessary police services. Inadequacies related to the infrastructure cause delays in the processing of prisoners, preparation of reports and the administration of police services. To the extent that a generic plan for the replacement/renovation of the existing structure can be crafted, this project is designed to address the physical plant of four Police Precinct station houses, facilities which are integral to the delivery of police services at the community level.	\$ 19,600.00	\$ 1,902,844.31	\$ -	\$ 7,190,400.00	\$ -	\$ 20,450,000.00	\$ 6,802,419.64	\$ 13,647,580.36	\$ 4,932,947.61
60039	Wheatley Road Drainage Improvements, Old Westbury	This project is located in a large tributary area where runoff from County roads is inundating private property and homes. The extent of the investigation for this project will be from Whitney Lane to Cedar Swamp Road in Old Westbury and Brookville. The study phase of this project will attempt to determine the best solution for collecting runoff possibly with the creation of a recharge basin or by using techniques to redirect the flow of the runoff.	\$ -	\$ 144,000.00	\$ -	\$ -	\$ -	\$ 2,500,000.00	\$ 501,634.00	\$ 1,998,366.00	\$ 112,644.95
61083	Horse Hollow Road, Lattingtown	Horse Hollow Road, in Lattingtown, consists of three concrete panels, in fair to good condition, flanked by deteriorated asphalt shoulders of varying width. Drainage is minimal, with runoff traveling long distances overland before reaching drainage systems. In some cases runoff leaves the roadway and enters adjacent properties. This causes problems for both vehicular and pedestrian traffic, as well as creating areas ripe for mosquito spawning. This project will improve the drainage to alleviate these issues.	\$ -	\$ -	\$ -	\$ -	\$ 7,241.00	\$ 1,000,000.00	\$ 992,759.00	\$ 7,241.00	\$ 7,241.00
61091	Grand Avenue, Baldwin	This project is for the reconstruction of Grand Avenue in Baldwin. The project will run from Grand Avenue to Merrick Road which is approximately 4,400' in length and 36' wide. The existing road pavement is in poor condition and drainage is old or insufficient with ponding at corners. The work will improve the profile, while maintaining the existing road width. Reducing the height of the existing travel lanes will be accomplished by the removal of the existing concrete panels with asphalt overlays and installation of new curbs, sidewalks and additional drainage structures.	\$ -	\$ 40,800.00	\$ -	\$ -	\$ -	\$ 500,000.00	\$ 499,943.12	\$ 56.88	\$ 56.88
61101	Uniondale Avenue/Front Street Improvements	This project will fund various improvements to the area of Union Avenue and Front Street.	\$ -	\$ -	\$ -	\$ -	\$ 1,263,158.63	\$ 1,500,000.00	\$ 236,841.37	\$ 1,263,158.63	\$ 1,263,158.63
61105	Merrick Avenue Road Improvements	This project will beautify Merrick Avenue in the vicinity of Smith Street. Improvements may include the planting of trees, and installation of brick pavers.	\$ -	\$ 1,200.00	\$ -	\$ -	\$ 31,534.17	\$ 500,000.00	\$ 293,699.82	\$ 206,300.18	\$ 32,734.17
61111	Wantagh Avenue Road Improvements	This project will fund sidewalk repair work on Wantagh Avenue between Sunrise Highway and Merrick Avenue.	\$ -	\$ 9,600.00	\$ -	\$ -	\$ 226,411.72	\$ 500,000.00	\$ 263,988.28	\$ 236,011.72	\$ 236,011.72
61587	Resurfacing Various County Roads	Resurfacing is undertaken to rehabilitate existing road pavements in order to provide for better and safer surfaces for the traveling public and to reduce maintenance costs. The program objective is to resurface each County roadway once during a 15-year cycle, depending upon traffic volumes and surface wear. To achieve this goal on the approximately 500 centerline miles or 2000 lane miles of County roadways, it is necessary to resurface over 125 lane miles each year, which this project is estimated to do. The County expects a reimbursement after the completion of the individual contracts will be available from a State program named CHIPS (Consolidated Highway Improvement Program).	\$ 34,247.58	\$ 20,268.10	\$ -	\$ 14,004,580.31	\$ -	\$ 84,350,000.00	\$ 74,460,986.04	\$ 9,889,013.96	\$ 9,836,529.79

Project Number	Formatted Project Title	Description	PO	Pre-NIFA	Not Required	NIFA Approved	County Approval Pending	Authorized	Issued	Authorized but Unissued	Projected Ask
72491	Fire Service Academy - Admin Building	Construction and addition to the Administration Building will allow programs for mandated training and support to meet current day needs (i.e. NFPA OSHA and Homeland Security). Mandated training and increase in programs relevant to NFPA standards and Homeland Security needs have increased the number of students as well as the total number of educational courses required. Expansion of services require additional space for classrooms, offices, locker rooms, seminar auditoriums, first aid station, student file storage, lavatories (public and staff) as well as support.	\$ -	\$ -	\$ -	\$ -	\$ 35,000.00	\$ 300,000.00	\$ -	\$ 300,000.00	\$ 35,000.00
97103	eGovernment	Online government services offer one of the few means for the County to enhance services to constituents while simultaneously reducing cost. The purpose of this project is to convert the agency sites into the new website in order to provide a harmonious look to users while also allowing each department the ability to maintain and update their own content. Once the remainder of the agencies are integrated, Nassau's site will rank as the top county portal in New York, and among the best in the nation. This funding will also support the development of more applications to be made available online. According to the 3,300 constituents surveyed, they want to have the ability to submit a HUD application, apply for subdivision permits, apply/pay for handicapped parking permits, purchase GIS premium services, and search and download public records.	\$ 145,834.48	\$ 62,348.40	\$ -	\$ -	\$ -	\$ 4,250,000.00	\$ 4,150,018.19	\$ 99,981.81	\$ 99,981.81
97108	Vehicle Management Inventory System	The project will implement a pilot program for a fully integrated Vehicle Inventory Management System that replaces several non-integrated, obsolete PC based systems and eliminate the paper intensive manual procedures.	\$ 1,680.00	\$ -	\$ -	\$ -	\$ -	\$ 270,000.00	\$ 247,963.00	\$ 22,037.00	\$ 1,680.00
97121	ADAPT	The ADAPT (ADministration, APpeals, Tax) project will provide a single commercial applications package to manage the County wide process of Assessment of real property, preparation of tax rolls & billing/collection of taxes and appeals.	\$ -	\$ -	\$ -	\$ 336,000.00	\$ -	\$ 7,000,000.00	\$ 6,569,174.01	\$ 430,825.99	\$ 100,823.80
97123	Jail Management System	This project is for the replacement of the current Inmate Tracking System with a new Jail Management System. The current system is an antiquated Mainframe system that cannot be upgraded or modified to expand functionality/upgrade processes. The Corrections Department which is a critical part of the Criminal Justice Vertical must have the ability to share information with County departments in the Vertical as well as other municipalities. The current system is 20+ years old and does not meet the needs of managing the intake, housing and release of inmates in Nassau County. The new Jail Management System will use innovative and best practice standards to enable data sharing with other criminal justice systems as well as streamline processes and eliminate duplicate data.	\$ 149,593.48	\$ -	\$ -	\$ 749,688.00	\$ -	\$ 2,800,000.00	\$ 1,840,759.50	\$ 959,240.50	\$ 887,418.52
62190	Traffic Peninsula Boulevard Signal Head Replacement	This project replaces signal heads at 45 intersections along Peninsula Blvd. Specifically, this project replaces all of the existing 8" diameter signal lenses that face traffic on Peninsula Blvd with 12" diameter signals. This change increases the target value of these signals and has been proven to reduce right angle, rear end and pedestrian accidents.	\$ -	\$ 210,766.60	\$ -	\$ -	\$ 0.00	\$ 2,860,000.00	\$ 1,912,672.56	\$ 947,327.44	\$ 210,766.60

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62191	Merrick Road Signal Head Replacement	This project will replace the traffic signal heads for all traffic signals along Merrick Road. Specifically, this project replaces all of the existing 8 inch diameter signal lenses with 12 inch diameter signals. This change increases traffic signal visibility and has been proven to reduce right angle, rear end, and pedestrian accidents. In addition, the newly installed signals will be energy efficient LED displays which will reduce energy usage bills for this segment of roadway.	\$ -	\$ -	\$ -	\$ -	\$ 79.90	\$ 500,000.00	\$ 60,000.00	\$ 440,000.00	\$ 79.90
62272	Signal System Operation Phase II	This project will be to maintain the current communications infrastructure associated with the Traffic Management Center's Central Computer System. It will ensure communication between the central computer system and traffic signals throughout the County. This will allow for coordinated timing and signal progression thus improving traffic flow and reducing vehicle congestion. This work includes routine maintenance, troubleshooting, upgrades and communications system expansion. 80 percent of the overall cost of this project is reimbursable from the Federal Government.	\$ -	\$ 110,400.00	\$ -	\$ -	\$ -	\$ 870,000.00	\$ 646,751.75	\$ 223,248.25	\$ 63,890.79
62564	Incident Management Phase IV	This project will design and construct an incident management system consisting of traffic surveillance cameras along Various Roadways in Nassau County. These traffic cameras will allow us to identify roadway congestion and accidents and give us the opportunity to make adjustments to timing plans, contact emergency crews, and divert traffic around the incident thus improving traffic flow and reducing delays.	\$ -	\$ -	\$ -	\$ -	\$ 269,502.00	\$ 462,000.00	\$ 192,498.00	\$ 269,502.00	\$ 269,502.00
91056	MTALIB 2008 FTA Grant Sect 5307 NY90-XX	This project is part of the county's commitment to partially fund (10%) MTA Long Island Bus's capital program for the purchase of buses and bus related equipment. MTA Long Island Bus operates and maintains a fleet of 327 fixed route buses. To maintain operating efficiency and capacity of the fixed route fleet, MTA Long Island Bus needs to purchase CNG buses. The beneficial useful life of the buses is 12 years/500,000 miles. The replacement cost is approximately \$350K per vehicle.	\$ -	\$ -	\$ -	\$ -	\$ 580,277.00	\$ 2,125,500.00	\$ 1,545,223.00	\$ 580,277.00	\$ 580,277.00
90230P	Police and Fire Communications Center	The Nassau County Police Department is currently upgrading several major systems that will improve their communication services within the County. These ongoing systems upgrades will require additional infrastructure and spatial needs for the Police and Fire Communications operators. The current facility located in the Bunker at 1490 Franklin Avenue, Mineola is undersized and has inadequate power and ventilation to withstand the systems upgrades as proposed. The new Police and Fire Communications Center will be located at 1194 Prospect Avenue in Westbury. At the conclusion of the project, this location will be the primary hub for the County's Police and Fire Communications; the existing facility in the bunker will become the backup facility. The plan also includes space for the Fire Marshal and their vehicular storage to move from leased space in 899 Jerusalem Avenue to this new facility.	\$ 361,851.89	\$ 1,756,577.26	\$ -	\$ -	\$ 0.00	\$ 74,750,000.00	\$ 72,631,570.85	\$ 2,118,429.15	\$ 2,118,429.15
35101	Lateral Sewer Repair	This is a four year program to evaluate, repair and rehabilitate approximately five miles of small diameter sanitary sewers, for the protection of groundwater from domestic sewage and industrial wastes.	\$ 2,000.00	\$ 246,000.00	\$ -	\$ -	\$ -	\$ 3,850,000.00	\$ 2,306,723.57	\$ 1,543,276.43	\$ 101,410.82
35107	East Hills Pump Station Improvements	The existing sewage pumping station will be improved/ upgraded to insure reliable service for the next twenty (20) years. In addition, the facility will be upgraded to meet current code requirements.	\$ -	\$ 43,200.00	\$ -	\$ 1,968,000.00	\$ -	\$ 3,250,000.00	\$ 1,579,340.09	\$ 1,670,659.91	\$ 1,414,354.79

Project Number	Formatted Project Title	Description	PO	Pre-NIFA	Not Required	NIFA Approved	County Approval Pending	Authorized	Issued	Authorized but Unissued	Projected Ask
35116	Wastewater Facilities Odor Control Improvements	This project will be to investigate and implement improvements to the odor control systems at the Bay Park Sewage Treatment Plant in East Rockaway and the Cedar Creek Water Pollution Control Plant in Wantagh. These improvements will help mitigate nuisance(s) to the community and expand existing odor control systems.	\$ -	\$ -	\$ -	\$ 7,743,343.06	\$ -	\$ 28,000,000.00	\$ 4,000,000.00	\$ 24,000,000.00	\$ 7,743,343.06
3B115	Bay Park Chemical Bulk Storage Facility Improvements	This project provides for improvements to chemical bulk storage facilities that exist at the Bay Park Sewage Treatment site. Current federal, state and local code requires that existing facilities (i.e. storage, transfer, delivery, distribution, monitoring systems, etc.) for regulated chemicals be upgraded to comply with the most stringent requirements of these codes in order to protect the environment and personnel from spills, leaks, etc. This project involves but is not limited to providing additional/improved spill containment structures (covered), leak detection/alarm system associated utility work.	\$ -	\$ -	\$ -	\$ -	\$ 37,884.86	\$ 1,650,000.00	\$ 840,985.00	\$ 809,015.00	\$ 37,884.86
3B119	Bay Park Various Buildings and Equipment Modifications	This project will make various equipment modifications to the Operations, Primary Sludge, Sludge Thickening, and Sludge Dewatering facilities. Major modifications include: upgrade/replacement of three (3) emergency generators in the Operations Building; modifications/improvements to centrifugal process air blowers; modifications to flotation thickener system to improve hydraulics; and miscellaneous health (OSHA) and safety (fire prevention/detection) improvements to comply with current codes and regulations.	\$ 1,522,566.46	\$ 42,000.00	\$ -	\$ 636,000.00	\$ 2,531,559.55	\$ 17,763,000.00	\$ 9,763,000.00	\$ 8,000,000.00	\$ 4,732,126.01
3B120	Bay Park Preliminary Treatment Modifications	This project involves an evaluation of the preliminary treatment facilities at the Bay Park Sewage Treatment Plant (grit and screening) to determine if there are deficiencies which will hamper their reliability for the future. The screening facility as well as the grit facility are approaching their useful life and the equipment is outdated with high maintenance requirements. A solution to the plant's grease problems will be addressed.	\$ 1,000.00	\$ 158,400.00	\$ -	\$ 216,000.00	\$ 2,582,801.93	\$ 35,060,411.00	\$ 1,619,163.42	\$ 33,441,247.58	\$ 2,958,201.93
82010	Implementation of Storm Water Management Program	The United States Environmental Protection Agency (USEPA) issued the Final Rule for the Phase II Storm Water Regulations in February 1999. These regulations require operators of small municipal separate storm water systems (the County of Nassau is included), to file a permit with New York State to discharge storm water to the surface waters of the United States. A requirement of the Permit is the development and implementation of a Storm Water Management Program (SWMP). This project will allow the County to implement various best management practices over the initial five year permit cycle for the six minimum control measures outlined in the County's SWMP.	\$ 16,689.80	\$ 107,712.26	\$ -	\$ 240,000.00	\$ -	\$ 5,500,000.00	\$ 3,712,091.28	\$ 1,787,908.72	\$ 174,172.55

**TAXABLE BOND**

Project Number	Formatted Project Title	Description	PO	Pre-NIFA	Not Required	NIFA Approved	County Approval Pending	Authorized	Issued	Authorized but Unissued	Projected Ask
61584	Resurfacing Various County Roads 2003	Resurfacing is undertaken to rehabilitate existing road pavements in order to provide for better and safer surfaces for the traveling public and to reduce maintenance costs. The program objective is to resurface each County roadway once during a 15-year cycle, depending upon traffic volumes and surface wear. To achieve this goal on the approximately 500 centerline miles or 2000 lane miles of County roadways, it is necessary to resurface over 125 lane miles each year, which this project is estimated to do. The County expects a reimbursement after the completion of the individual contracts will be available from a State program named CHIPS (Consolidated Highway Improvement Program).	\$ -	\$ 1,043,590.00	\$ -	\$ -	\$ -			\$ 1,205,554.00	\$ 1,043,560.90

## **Attachment B – Termination Pay**

A capital expenditure in the amount of up to \$30,600,000 (including costs of issuance) was approved by the Nassau County Legislature for financing of employee separations from the Police Department. NIFA has previously authorized bonding to fund \$26,500,000 in eligible Police termination payments (\$48,200,000 for all County unions).

There is currently a disagreement with the County about whether NIFA “promised” to approve up to \$80 million for similar purposes with the understanding that the County would transition to paying for these expenses out of its operating budget.

### **Attachment C – Workers’ Comp**

The Lump Sum Settlement program has reduced the County’s workers’ compensation expense through offering select claimants a one-time, upfront payment that is far less than what the claimant would have received had he chosen to take a small weekly payment over many years as ordered by the Workers’ Compensation Board. All Settlements have been approved by the Legislature.

## **Attachment D - Judgments, Settlements and Claims**

The following is a list of judgments, settlements and claims that are to be financed, and for which the County has existing bond ordinance authorization.

Wharton v. County of Nassau, Nassau County Police Department, Police Officer Phillip Kouril, and Police Officer Charles DeCaro. Index No. 07-CV-2137 (WFK)

Bond Ordinance # 323-2013 (\$479,000 = settlement amt. \$470,000 + 2% COI of \$9,000)  
Settlement Resolution # 322-2013; Passed by Nassau County Legislature on August 5, 2013

Plaintiffs, Joshua Wharton, Gail Wharton and Jonathan Wharton, brought a Section 1983 civil rights action for false arrest and malicious prosecution in the United States District Court for the Eastern District of New York. The complaint alleged that the plaintiff, Joshua Wharton, was falsely arrested and maliciously prosecuted stemming from an arrest on January 20, 2004 for Obstructing Governmental Administration (“OGA”) and Resisting Arrest (“RA”). A bench trial was held before Judge Sondra Pardes on February 20 and February 21, 2006 at which Plaintiff was found not guilty of the charges against him.

After Plaintiff Joshua Wharton was found not guilty he filed the Section 1983 civil rights action against Nassau County and Nassau County police officers in 2007. The jury trial in this civil matter was conducted before Judge William F. Kuntz from March 19 through March 22, 2013 in Brooklyn on the issues of false arrest and malicious prosecution. At the conclusion of the trial the jury returned a verdict in the amount of \$340,000.00. In addition to this amount for compensatory and punitive damages, Plaintiffs’ counsel made an application for attorneys’ fees in the amount of \$174,000. The County’s total exposure was \$514,000. As a result of settlement discussions the County was able to reduce the exposure to \$470,000.00 which is a significant savings off the cost of the jury verdict, interest and attorneys’ fees in this matter.

Martire v. County of Nassau, Police Officer Guy Benedetto, Sgt. Thomas Iannucci, and Police Officer Anthony Raymond, Index No. 09-CV-4426 (MKB) (ARL)

Bond Ordinance # 325-2013 (\$252,960 = settlement amt. \$248,000 + 2% COI of \$4,960)  
Settlement Resolution # 324-2013; Passed by Nassau County Legislature on August 5, 2013

Plaintiff, Louis Martire, brought Section 1983 civil rights action in the United States District Court for the Eastern District of New York and asserted the following claims: (1) false arrest/false imprisonment; (2) malicious prosecution; (3) excessive force and (4) municipal liability. The matter was scheduled for trial on July 8, 2013. The County and Plaintiff’s attorney reached a settlement prior to trial. Plaintiff’s complaint requested \$5,000,000; this office was able to settle this matter for \$248,000.00.

## **Attachment E– Tax Certs**

In 2013, the Nassau County Legislature authorized \$75 million of financing to address, among other issues, the payment of certain monies to aggrieved property owners who had brought suit in the Nassau County Supreme Court. In August 2013 NIFA approved \$40 million of the aforementioned \$75 million.